



# The ASCON Family for Lightweight Cryptography

Christoph Dobraunig · **Maria Eichlseder** · Florian Mendel · Martin Schläffer

- PBC 2023
- Lyon · 23 April 2023



> <https://ascon.iaik.tugraz.at>

# Motivation



Lightweight Cryptography

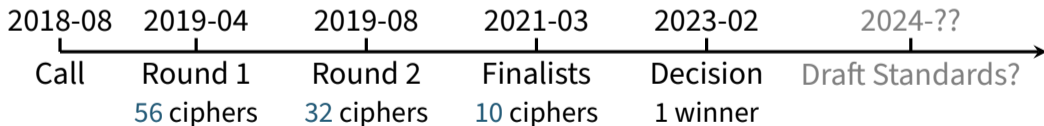


- Need lightweight authenticated encryption and hashing algorithms
  - 📶 Low area · 🔋 Low energy · ⚡ Low power · ⌚ Low latency
- for efficient, secure, robust implementations on constrained devices

➤ Standardize lightweight authenticated encryption and hashing algorithms

🏛 Organized by NIST (US National Institute of Standards and Technology)

<https://csrc.nist.gov/projects/lightweight-cryptography>



# Designing the ASCON Family



# The ASCON Team

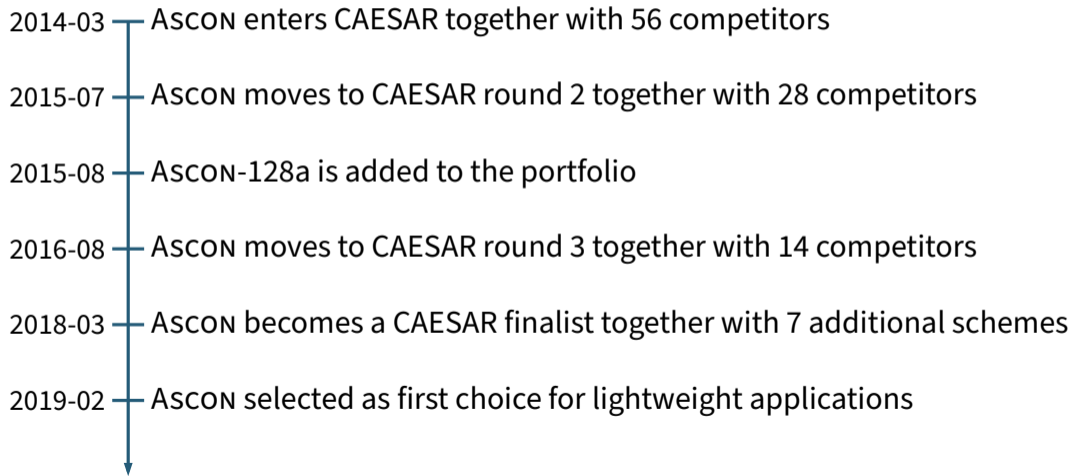
- Martin Schläffer
- Florian Mendel
- Christoph Dobraunig
- Maria Eichlseder



(c) Lunghammer, TU Graz




# History I

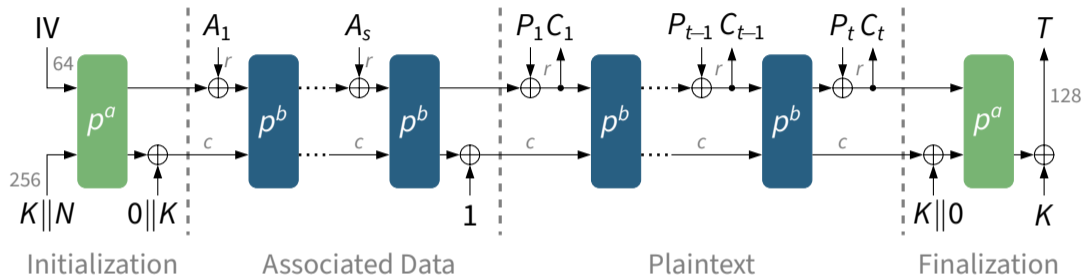




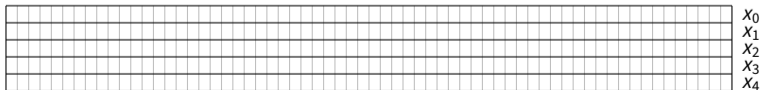
## History II

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- 2019-02 — ASCON (now with hash) enters NIST LWC together with 55 competitors
  - 2019-08 — ASCON enters NIST LWC round 2 together with 31 competitors
  - 2021-03 — ASCON becomes one of 10 NIST LWC finalists
  - 2021-05 — ASCON-HASHA is added for faster hashing
  - 2021-06 — ASCON is published in Journal of Cryptology [DEMS21c]
  - 2023-02 — NIST announces to standardize ASCON for lightweight applications

# ASCON's Mode for Authenticated Encryption



- **Doubly-keyed** initialization/finalization for higher robustness under misuse
- **Duplex sponge** mode using a  $5 \times 64 = 320$ -bit permutation

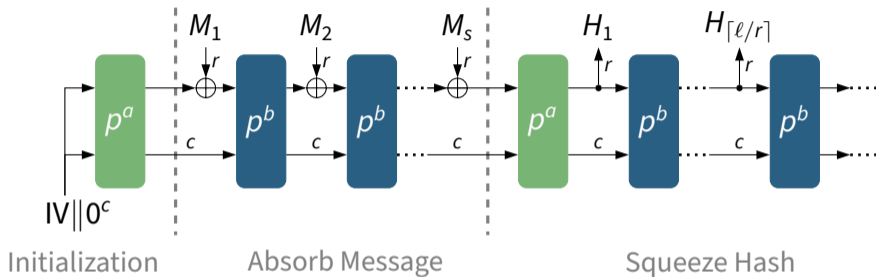


## AEAD Family

Name	Algorithms	Bit size of				Rounds	
		key	nonce	tag	data block	$p^a$	$p^b$
ASCON-128	$\mathcal{E}, \mathcal{D}_{128,64,12,6}$	128	128	128	64	12	6
ASCON-128a	$\mathcal{E}, \mathcal{D}_{128,128,12,8}$	128	128	128	128	12	8
ASCON-80pq	$\mathcal{E}, \mathcal{D}_{160,64,12,6}$	160	128	128	64	12	6

- **ASCON-128**: Primary recommendation
- **ASCON-128A**: Same security, 33 % faster (more rounds but larger rate)
- **ASCON-80PQ**: Same classical security, higher PQ security

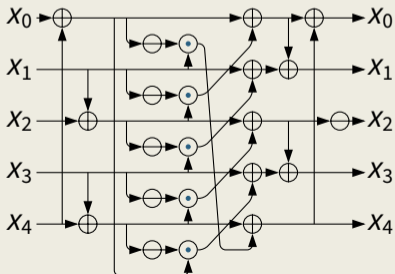
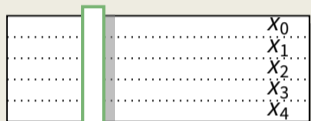
# ASCON Hashing



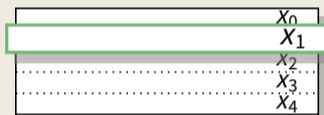
Name	Algorithm	Bit size of		Rounds	
		hash	data block	$p^a$	$p^b$
ASCON-HASH	$\mathcal{X}_{256,64,12,12}$ with $\ell = 256$	256	64	12	12
ASCON-HASHA	$\mathcal{X}_{256,64,12,8}$ with $\ell = 256$	256	64	12	8

# ASCON Permutation: $a = 12$ , $b \in \{6, 8\}$ Rounds

## S-box layer



## Linear layer



$$X_0 := X_0 \oplus (X_0 \ggg 19) \oplus (X_0 \ggg 28)$$


$$X_1 := X_1 \oplus (X_1 \ggg 61) \oplus (X_1 \ggg 39)$$

$$X_2 := X_2 \oplus (X_2 \ggg 1) \oplus (X_2 \ggg 6)$$


$$X_3 := X_3 \oplus (X_3 \ggg 10) \oplus (X_3 \ggg 17)$$

$$X_4 := X_4 \oplus (X_4 \ggg 7) \oplus (X_4 \ggg 41)$$

# Security

 When used correctly (nonce-respecting, etc.):

Requirement	Security in bits			
	ASCON-128 ASCON-128a	ASCON-80pq	ASCON-HASH ASCON-HASHA	ASCON-XOF ASCON-XOFA
Confidentiality of $M$	128	128		
Integrity of $M, A, N$	128	128		
Collision resistance			128	$\min(128, \ell/2)$
Pre-image resistance			128	$\min(128, \ell)$

 In case of nonce misuse or other misuse (side-channels, ...):  
Aim to keep damage “local” to misused setting (resilience)

# Implementing ASCON



# Ascon Permutation Properties

## ■ **Simplicity**

- Low 320-bit state size
- Bitwise Boolean functions defined on 64-bit words

## ■ **Bitsliced in Software**

- 64-bit words
- Up to 5 instructions in parallel
- Minimal temporary registers

## ■ **Flexible in Hardware**

- Small area
- High speed

## ■ **Easy to integrate side-channel countermeasures**

- No look-up tables
- Low-degree S-boxes
- Easy to mask

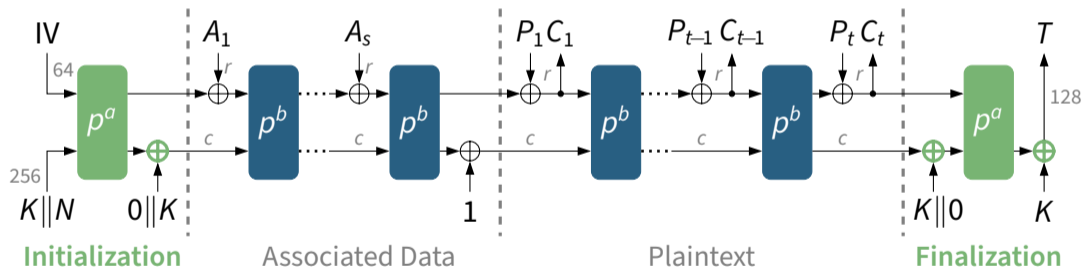


# ASCON Performance Characteristics

- Often much more efficient than AES-GCM
  - Up to **3x to 5x speed on microcontrollers** (from <https://lwc.las3.de>)
  - Up to **2x throughput** with **0.5x energy** in hardware (from <https://ia.cr/2021/049>)
- Designed for ease of protection against physical attacks

# Protecting against Implementation Attacks

- Robustness: Limited damage if state recovered through some misuse
- Mode: **Levelled Implementation** [BBC+20]: only **Init/Final** need full protection



- Primitive: Efficient masking (e.g., using Toffoli gate [DDE+20])

# Analyzing ASCON



# Analysis of ASCON

Key recovery	ASCON initialization	7 / 12	$2^{97}$		Cube-like	[LZWW17]
	ASCON initialization	7 / 12	$2^{104}$		Cube-like	[LDW17]
	ASCON initialization	7 / 12	$2^{123}$		Cube	[RHSS21]
	ASCON initialization	6 / 12	$2^{74}$		Cond. HDL	[HP22]
	ASCON initialization	5 / 12	$2^{31}$		Diff.-linear	[Tez20]
	ASCON-128a iteration	7 / 8	$2^{118}$		Cond. cube	[CKT22]
	ASCON-80pq iteration	6 / 6	$2^{130}$		Cond. cube	[CHK22]
Forgery	ASCON-128 finalization	6 / 12	$2^{33}$		Cube tester	[LZWW17]
	ASCON-128 finalization	4 / 12	$2^{102}$		Differential	[DEMS15]
	ASCON-128 finalization	4 / 12	$2^{97}$		Differential	[GPT21]
	ASCON-128a finalization	3 / 12	$2^{20}$		Differential	[GPT21]

= nonce misuse   
 = exceeds data limit of  $2^{64}$  blocks   
 = time exceeds  $2^{128}$   
 weak-key variants omitted

## Analysis of ASCON: (Partial\*) state recovery


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State recovery	ASCON-128 iteration	6 / 6	$2^{40}$		Cond. cube	[BCP22]
	ASCON-128 iteration*	6 / 6	$2^{45}$		Cond. cube	[CHK22]
	ASCON-128 iteration	5 / 6	$2^{66}$		Cube-like	[LZWW17]
	ASCON-128a iteration	7 / 8	$2^{118}$		Cond. cube	[CKT22]
	ASCON-128a iteration	3 / 8	$2^{117}$		Differential	[GPT21]
	ASCON-128a iteration	2 / 8	—		Sat-Solver	[DKM+17]

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= nonce misuse    = exceeds data limit of  $2^{64}$  blocks  
weak-key variants omitted





# Analysis of ASCON-HASH and ASCON-XOF

Type	Target	Output size	Rounds	Time	Method	Reference
Preimage	ASCON-XOF	64	6 / 12	$2^{63.3}$	Algebraic	[DEMS19]
	ASCON-XOF	64	2 / 12	$2^{39}$	Cube-like	[DEMS19]
Collision	ASCON-XOF	all	4 / 12	– 	Differential	[DEMS19]
	ASCON-XOF	64	2 / 12	$2^{15}$	Differential	[ZDW19]
	ASCON-HASH	256	2 / 12	$2^{125}$	Differential	[ZDW19]
	ASCON-HASH	256	2 / 12	$2^{103}$	Differential	[GPT21]


( = chosen IV)

# Analysis of ASCON's Permutation

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Distinguisher	Permutation	12 / 12	$2^{55}$ 	Zero-sum	[HP22]
	Permutation	11 / 12	$2^{85}$ 	Zero-sum	[DEMS21a]
	Permutation	8 / 12	$2^{46}$ 	Integral	[HP22]
	Permutation	7 / 12	$2^{65}$	Integral	[Tod15]
	Permutation	7 / 12	$2^{60}$	Integral	[RHSS21]
	Permutation	7 / 12	$2^{34}$ 	Limited-Birthday	[GPT21]
	Permutation	5 / 12	$2^{109}$	Truncated Differential	[Tez16]
	Permutation	5 / 12	$2^{80}$	Rectangle	[GPT21]
	Permutation	5 / 12	-	Zero-Correlation	[DEMS21a]
	Permutation	5 / 12	-	Impossible Differential	[DEMS21a]
	Permutation	4 / 12	$2^{107}$	Differential	[DEMS21a]
	Permutation	4 / 12	$2^{101}$	Linear	[DEM15a]
	Permutation	3 / 12	-	Subspace Trails	[LTW18]

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 = non-black-box distinguisher

## Analysis of ASCON in Misuse Settings

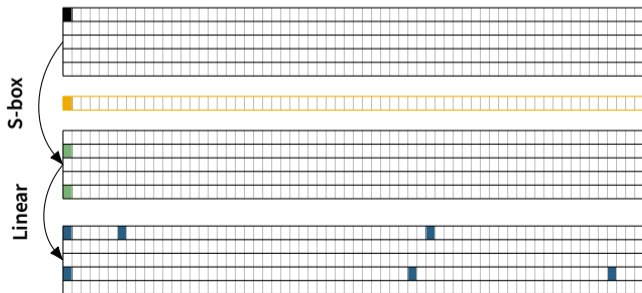
- Cryptanalysts increasingly consider misuse settings:  
Nonce misuse · Decryption misuse · Implementation attacks
- Generic nonce-misuse attacks on duplex designs include
  - Confidentiality break  
with  $1 + 1$  misuse query per block of the challenge message.
  - State recovery  
with  $D$  misuse queries,  $T \cdot D = 2^c$ .  
Does not lead to trivial key recovery in ASCON

With more massive nonce misuse, some dedicated attacks are possible



# Differential and Linear Characteristics of ASCON

- Goal: Prove lower bound on number of **active S-boxes** of characteristics
- **S-box** has max. differential probability  $2^{-2}$ , max. squared correlation  $2^{-2}$
- **Weak alignment** → proving bounds is challenging, need bitwise model



# Bounds and Best Known Characteristics

Gap of **provable bounds** vs. **best known characteristics** [DEMS15; DEM15b; GPT21]:

	R	min #S-boxes		max Probability		Methods
Differential	1	1	1	$2^{-2}$	$2^{-2}$	DDT
	2	4	4	$2^{-8}$	$2^{-8}$	DDT
	3	15	15	$\leq 2^{-30}$	$2^{-40}$	SMT, nldtool
	4	-	44	-	$2^{-107}$	nldtool, SAT
	5	-	78	-	$2^{-190}$	CP, SAT
	6	-	-	-	-	-

- ➔ New lower bounds for 4 and 6 rounds [EME22; HMMD22]
- ➔ Slightly better characteristics [MR22]

# Bounds and Best Known Characteristics

Gap of **provable bounds** vs. **best known characteristics** [DEMS15; DEM15b; GPT21]:

	R	min #S-boxes	max Probability	Methods		
Differential	1	1	1	$2^{-2}$	$2^{-2}$	DDT
	2	4	4	$2^{-8}$	$2^{-8}$	DDT
	3	15	15	$\leq 2^{-30}$	$2^{-40}$	SMT, nldtool
	4	$\geq 36$	43	$\leq 2^{-86}$	$2^{-107}$	nldtool, [HMMD22]
	5	-	72	$\leq 2^{-100}$	$2^{-190}$	CP, [HMMD22]
	6	$\geq 54$	-	$\leq 2^{-129}$	-	

- ➔ New lower bounds for 4 and 6 rounds [EME22; HMMD22]
- ➔ Slightly better characteristics [MR22]

# Bounds and Best Known Characteristics

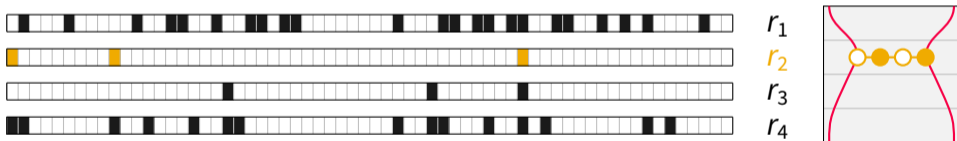
Gap of **provable bounds** vs. **best known characteristics** [DEMS15; DEM15b; GPT21]:

	R	min #S-boxes	max Square Corr.	Methods		
Linear	1	1	1	$2^{-2}$	$2^{-2}$	LAT
	2	4	4	$2^{-8}$	$2^{-8}$	LAT
	3	13	13	$2^{-28}$	$2^{-28}$	SMT, lineartrails, [HMMD22]
	4	$\geq 36$	43	$\leq 2^{-88}$	$2^{-98}$	lineartrails, [HMMD22]
	5	-	67	$\leq 2^{-96}$	$2^{-186}$	lineartrails, [HMMD22]
	6	$\geq 54$	-	$\leq 2^{-132}$	-	[HMMD22]

- ➔ New lower bounds for **4** and **6** rounds [EME22; HMMD22]
- ➔ Slightly better characteristics [MR22]

# Manual parallelization approach

- ➔ Partition the search space into many independent problems
- ➔ Categorize characteristics based on “girdle patterns”
  - S-box activity within the **round with fewest active S-boxes**



- ➔ Reduce the number of subproblems to be solved
- ➔ Optimize the individual SAT models

# Shaping & Extending the ASCON Family



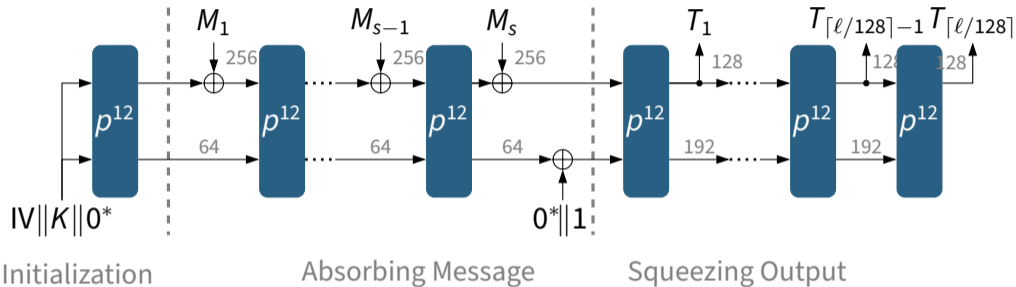
# What's Next?

NIST will ...

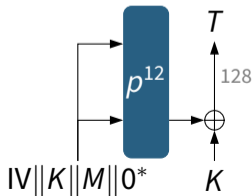
- publish a report (NIST IR 8454) with details on the competition process
- work with the ASCON team to draft the new standard for public comments
- host a workshop (June 21–22) to discuss various aspects of the process

Open discussion: Potential extensions based on requests from community (MAC/PRF, key/tag sizes, sessions, ...)

# Potential Extensions for MAC/PRF/KDF: ASCON-PRF [DEMS21b]








ASCON-PRFSHORT:





## Conclusion

-  NIST announced selection of ASCON as new lightweight cryptography standard
-  ASCON has received a lot of attention by cryptanalysts (CAESAR, LWC)
-  Many implementations for different platforms available
-  Many decisions to be made for final standard (variants, extensions)
-  Now is the perfect time for comments and suggestions

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