## Algebraic Attacks on Round-Reduced Keccak ${ }^{1}$

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${ }^{1}$ Published at ACISP 2021

## Overview

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- Keccak
- Algebraic Properties of $\chi$

2 Preimage Attacks

- Linear Structure
- Improved (Non)linear Structure
- 3-Round Preimage Attacks
- 4-Round Preimage Attack

■ New Improvement
3 Summary

- Summary and Related Problems


## Sponge Construction

## ■ EUROCRYPT 2008

- application: hash function, AEAD, PRNG, ...
- concrete ciphers: Keccak, Ascon, Poseidon, ...

■ Security: related to ( $r, c, r^{\prime}$ )


Figure: The sponge construction

## Sponge Construction - Keccak

■ SHA-3 standard: Keccak-224/256/384/512, SHAKE-128/256

- many other different $\left(r, c, r^{\prime}\right)$ in Keccak challenges ${ }^{2}$

- General preimage attacks on Keccak: $\mathcal{O}\left(2^{r^{\prime}}\right)$
- $r^{\prime}$ increases as $r$ decreases (for Keccak-224/256/384/512)

[^0]
## Keccak State



Figure: The Keccak state $(5 \times 5 \times 64)$

## Round Function

■ \#rounds: 24 (TurboSHAKE: 12 rounds)

- $f=R^{24}$ ( $f$ : permutation; $R$ : round function)
- $R=\iota \circ \chi \circ \pi \circ \rho \circ \theta$

$\theta: A[x][y]=A[x][y] \oplus \sum_{y=0}^{4} A[x-1][y] \oplus\left(\sum_{y=0}^{4} A[x+1][y]\right) \lll 1$
Figure: The $\theta$ operation


## Round Function

■ \#rounds: 24 (TurboSHAKE: 12 rounds)

- $f=R^{24}$ ( $f$ : permutation; $R$ : round function)
- $R=\iota \circ \chi \circ \pi \circ \rho \circ \theta$

| 0,0 | 1,0 | 2,0 | 3,0 | 4,0 |  | 0,0 | 1,1 | 2,2 | 3,3 | 4,4 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0,1 | 1,1 | 2,1 | 3,1 | 4,1 |  | 3,0 | 4,1 | 0,2 | 1,3 | 2,4 |
| 0,2 | 1,2 | 2,2 | 3,2 | 4,2 | $\xrightarrow[\pi]{\rho}$ | 1,0 | 2,1 | 3,2 | 4,3 | 0,4 |
| 0,3 | 1,3 | 2,3 | 3,3 | 4,3 |  | 4,0 | 0,1 | 1,2 | 2,3 | 3,4 |
| 0,4 | 1,4 | 2,4 | 3,4 | 4,4 |  | 2,0 | 3,1 | 4,2 | 0,3 | 1,4 |

Figure: The $\pi \circ \rho$ operation

## Round Function

■ \#rounds: 24 (TurboSHAKE: 12 rounds)

- $f=R^{24}$ ( $f$ : permutation; $R$ : round function)

■ $R=\iota \circ \chi \circ \pi \circ \rho \circ \theta$


Figure: The $\chi$ operation

## Preimage Attacks on Keccak

- rotational cryptanalysis (FSE 2013)
- linear structure (linear equations, AC 2016)
- linearization technique (quadratic equations, ACISP 2021)
- polynomial method (high-degree equations, EC 2021)
- MitM method (EC 2023)
- better guessing/linearizing strategies (other improvements)

Currently, the best preimage attacks only reach up to 4 rounds.

## Preimage Attacks on Keccak

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## Algebraic Properties of $\chi$

## Property 1

For

$$
\begin{equation*}
\chi: y_{i}=x_{i} \oplus\left(x_{i+1} \oplus 1\right) x_{i+2}, \quad 0 \leq i \leq 4 \tag{1}
\end{equation*}
$$

the following equation always holds:

$$
\begin{equation*}
y_{i} \oplus x_{i}=\left(y_{i+1} \oplus 1\right) x_{i+2} \tag{2}
\end{equation*}
$$

This implies that if $u$ consecutive output bits $\left(y_{i}, \ldots, y_{i+u}\right)$ are known where $2 \leq u \leq 4$, we can obtain $u-1$ linear equations in $\left(x_{0}, \ldots, x_{4}\right)$.

## Algebraic Properties of $\chi$

## Property 2

For

$$
\begin{equation*}
\chi: y_{i}=x_{i} \oplus\left(x_{i+1} \oplus 1\right) x_{i+2}, \quad 0 \leq i \leq 4 \tag{3}
\end{equation*}
$$

the following equation holds with probability 0.75 :

$$
\begin{equation*}
y_{i} \oplus x_{i}=0 \tag{4}
\end{equation*}
$$

This implies that if only $y_{i}$ is known, we can obtain 1 linear equation in $\left(x_{0}, \ldots, x_{4}\right)$ with probability 0.75 .

## Linear Structure for Keccak-512

Keccak-512: $r=64 \times 9=576, r^{\prime}=512$


Figure: Illustration of Keccak-512

## Linear Structure for Keccak-512

■ many leaked linear equations are not used!!! (\#eqs > \#vars)


Figure: linear structure of Keccak-512

## Linear Structure for Keccak-384

Keccak-384: $r=64 \times 13=832, r^{\prime}=384$

$\square$ hash value
$\square$ capacity part

Figure: Illustration of Keccak-384

## Linear Structure for Keccak-384

■ many leaked linear equations are not used!!! (\#eqs > \#vars)


Figure: linear structure of Keccak-384

Our Motivation

Make full use of the leaked linear relations?

## Improved (Non)linear Structure for Keccak-512

- Constructing linear structures consumes many degrees of freedom, i.e. many bits have to be set as constants.
- What will happen if those bits are not set as constants?
constant

$$
\begin{aligned}
& 64 \times 7=448 \text { linear eqs } \\
& 64 \times(4+3)=448 \text { variables }
\end{aligned}
$$

forced to be linear by using new variables, e.g. $v_{4}=v_{0} v_{1}$

## Improved (Non)linear Structure for Keccak-512

■ \#eqs = \# vars!!!

- Solving equations is equivalent to exhausting $2^{64 \times 4}=2^{256}$ possible inputs, i.e. the gain is $2^{256}$.


linear $\square$ hash
constant


$$
\begin{aligned}
& 64 \times 7=448 \text { linear eqs } \\
& 64 \times(4+3)=448 \text { variables }
\end{aligned}
$$

## Improved (Non)linear Structure for Keccak-384

- \#eqs $<\#$ vars (no advantages?)

linear

hash
constant

$$
\begin{aligned}
& 64 \times 5=320 \text { linear eqs } \\
& 64 \times 6=384 \text { variables }
\end{aligned}
$$

Figure: (non)linear structure of Keccak-384

## Improved (Non)linear Structure for Keccak-384

- \#eqs $=\#$ vars (using probabilistic linear equations)

■ gain: $2^{64 \times 5-27}=2^{64 \times 4+37}=2^{293}$ (time com: $2^{384-293}=2^{91}$ )

$\square$ linear
$\square$ constant
$\square$ hash 320 eqs +64 prob. eqs (pro. $2^{-27}$ ) $64 \times 6=384$ variables

Figure: (non)linear structure of Keccak-384

## Summary of the 2-Round Attacks

- Introduce more variables in the inputs to make full use of leaked linear equations
- Allow the first round to be quadratic
- replace quadratic part with new variables

How to use similar ideas for 3-round preimage attacks?

## Improved (Non)linear Structure for 3-Round Keccak-512

- Based on the original linear structure
- Use conditions (1st round) to slow down the diffusion
- linearization technique is costly for too many quadratic terms


Figure: (non)linear structure of 3-Round Keccak-512

## Improved (Non)linear Structure for 3-Round Keccak-512

- Based on the original linear structure
- Use conditions (1st round) to slow down the diffusion
- Further guess equations (2nd round) to slow down the diffusion, i.e. making the linearization technique effective


Figure: (non)linear structure of 3-Round Keccak-512

## Improved (Non)linear Structure for 3-Round Keccak-512

Determine the required number of guessed equations $(t)$
■ Goal: \# eqs $\geq$ \# vars

- \#eqs: $t+64 \times 7=448+t$

■ \#vars: $128+(64-t) \times(3+1+3+1+3)+256=1088-11 t$

- $t=54$ : $\#$ eqs: 502 , \# vars: 494 (gain: $2^{64 \times 2-54}=2^{74}$ )


Figure: (non)linear structure of 3-Round Keccak-512

## Improved (Non)linear Structure for 3-Round Keccak-512

How to satisfy conditions?

- They can always be satisfied by assigning proper values to constant parts of the second message block
- Left degrees of freedom: 128 bits
- The number of the 1 st message blocks: $2^{512-128+2}=2^{386}$.


Figure: (non)linear structure of 3-Round Keccak-512

## Improved (Non)linear Structure for 3-Round Keccak-512

Details of how to satisfy conditions:

$$
\begin{aligned}
& B_{0}=A^{0}[0][2] \oplus A^{0}[0][3] \oplus A^{0}[0][4], \\
& B_{2}=A^{0}[2][2] \oplus A^{0}[2][3] \oplus A^{0}[2][4], \\
& B_{3}=A^{0}[3][2] \oplus A^{0}[3][3] \oplus A^{0}[3][4], \\
& B_{4}=A^{0}[4][1] \oplus A^{0}[4][2] \oplus A^{0}[4][3] \oplus A^{0}[4][4], \\
& A^{0}[1][0] \oplus\left(B_{0} \oplus C_{0}\right) \oplus\left(B_{2} \oplus C_{1}\right) \lll 1=1^{64}, \\
& A^{0}[1][1] \oplus\left(B_{0} \oplus C_{0}\right) \oplus\left(B_{2} \oplus C_{1}\right) \lll 1=0, \\
& A^{0}[1][4] \oplus\left(B_{0} \oplus C_{0}\right) \oplus\left(B_{2} \oplus C_{1}\right) \lll 1=1^{64}, \\
& A^{0}[3][1] \oplus\left(B_{2} \oplus C_{1}\right) \oplus\left(B_{4} \oplus A^{0}[4][0]\right) \lll 1=0, \\
& A^{0}[3][2] \oplus\left(B_{2} \oplus C_{1}\right) \oplus\left(B_{4} \oplus A^{0}[4][0]\right) \lll 1=0, \\
& A^{0}[4][0] \oplus\left(A^{0}[3][0] \oplus A^{0}[3][1] \oplus B_{3}\right) \oplus\left(B_{0} \oplus C_{0}\right) \lll 1=1^{64}, \\
& A^{0}[4][4] \oplus\left(A^{0}[3][0] \oplus A^{0}[3][1] \oplus B_{3}\right) \oplus\left(B_{0} \oplus C_{0}\right) \lll 1=1^{64} .
\end{aligned}
$$

## Improved (Non)linear Structure for 3-Round Keccak-512

Details of how to satisfy conditions:

$$
\begin{aligned}
A^{0}[4][0] & =A^{0}[4][4], \\
A^{0}[3][1] & =A^{0}[3][2], \\
C_{1} & =A^{0}[3][2] \oplus\left(B_{4} \oplus A^{0}[4][0]\right) \lll 1 \oplus B_{2} . \\
A^{0}[1][0] & =A^{0}[1][4], \\
A^{0}[1][1] & =A^{0}[1][4] \oplus 1^{64}, \\
C_{0} & =A^{0}[1][4] \oplus\left(B_{2} \oplus C_{1}\right) \lll 1 \oplus B_{0} \oplus 1^{64} . \\
A^{0}[3][0] & =A^{0}[4][4] \oplus\left(B_{0} \oplus C_{0}\right) \lll 1 \oplus\left(A^{0}[3][1] \oplus B_{3}\right) \oplus 1^{64} .
\end{aligned}
$$

## Improved (Non)linear Structure for 3-Round Keccak-384

- Based on the original linear structure
- Use conditions (1st) to slow down the diffusion
- Further guess equations (2nd round) to slow down the diffusion, i.e. making the linearization technique effective


Figure: (non)linear structure of 3-Round Keccak-384

## Improved (Non)linear Structure for 3-Round Keccak-384

■ Optimal $t=13$, \#eqs: 461, \#vars: 460

- Left degrees of freedom: 256
- The number of the 1 st message block: $2^{384-256+128+2}=2^{258}$

■ Gain: $2^{64 \times 4-64 \times 2-13-2}=2^{113}$


Figure: (non)linear structure of 3-Round Keccak-384

## Summary of the 3-Round Attacks

- Use additional conditions (1st round) to slow down the propagation of variables
- Further guessing linear equations (2nd round) to slow down the propagation of variables
- construct overdefined quadratic equations that can be solved by the linearization technique


## Preimage Attack on 4-Round Keccak-384

■ Make the first 2 rounds linear (only a few variables), inspired from the conditional cube attack

- solve a system of quadratic Boolean equations
- The gain is small


Figure: (non)linear structure of 3-Round Keccak-384

## New Improvement (Others' Work)

Practical preimages for 2-round Keccak-384 (eprint 2022/788)
■ Slow propagation of variables by adding conditions

- Properly choose constant bits to make $\# 1=1^{64}$
- Properly choose constant bits to make $* 2$ match the hash

■ match the full 384-bit hash with only 256 variables!!!


## Using Polynomial Method (EC 2021)

- 4-round Keccak-384: degree-4 eqs in 256 vars ( $2^{374}$ bit ops.)
- 4-round Keccak-512: degree-8 eqs in 512 vars ( $2^{502}$ bit ops.)

Drawback: too large memory complexity

## Using Crossbred Algorithm (ICISC 2021)

■ 4-round Keccak-224: overdefined quadratic eqs ( $2^{182}$ calls.)

- 4-round Keccak-256: overdefined quadratic eqs ( $2^{214}$ calls.)
based on the 2-round linear structure at EC 2019


## Summary

- Slow down the propagation of variables seems important
- Nonlinear equations are better than linear ones
- Advanced techniques for nonlinear equations in Keccak?

■ Construct advanced technique friendly (non)linear structures?

- Optimize the polynomial method for Keccak?


[^0]:    ${ }^{2}$ https://keccak.team/crunchy_contest.html

