



Understanding the Duplex and Its Security

Bart Mennink

Radboud University (The Netherlands)

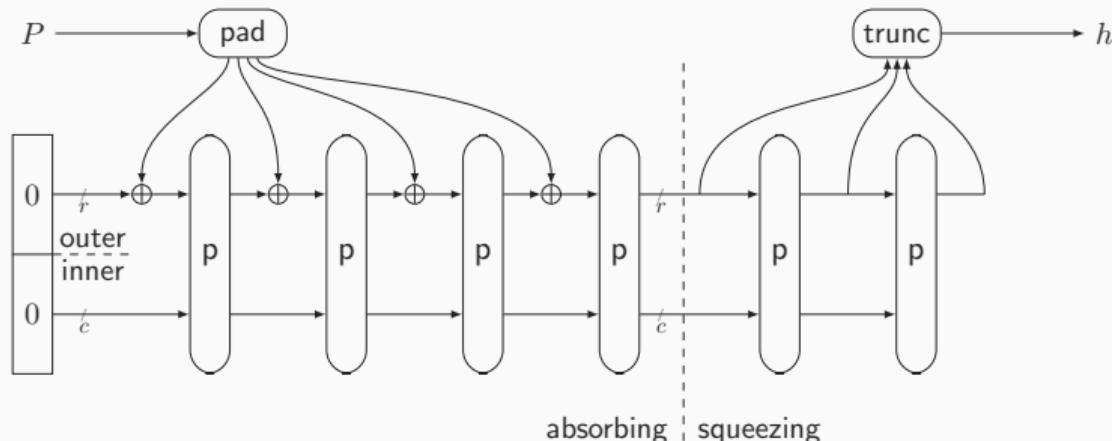
Permutation-Based Crypto 2023, Lyon

April 23, 2023



History Sponges and Duplexes

Sponges [BDPV07]



- p is a b -bit permutation, with $b = r + c$
 - r is the rate
 - c is the capacity (security parameter)
- SHA-3, XOFs, lightweight hashing, ...
- Behaves as RO up to query complexity $\approx 2^{c/2}$ [BDPV08]

Keyed Sponge

- $\text{PRF}(K, P) = \text{sponge}(K \| P)$
- Message authentication with tag size t : $\text{MAC}(K, P, t) = \text{sponge}(K \| P, t)$
- Keystream generation of length ℓ : $\text{SC}(K, D, \ell) = \text{sponge}(K \| D, \ell)$
- (All assuming K is fixed-length)

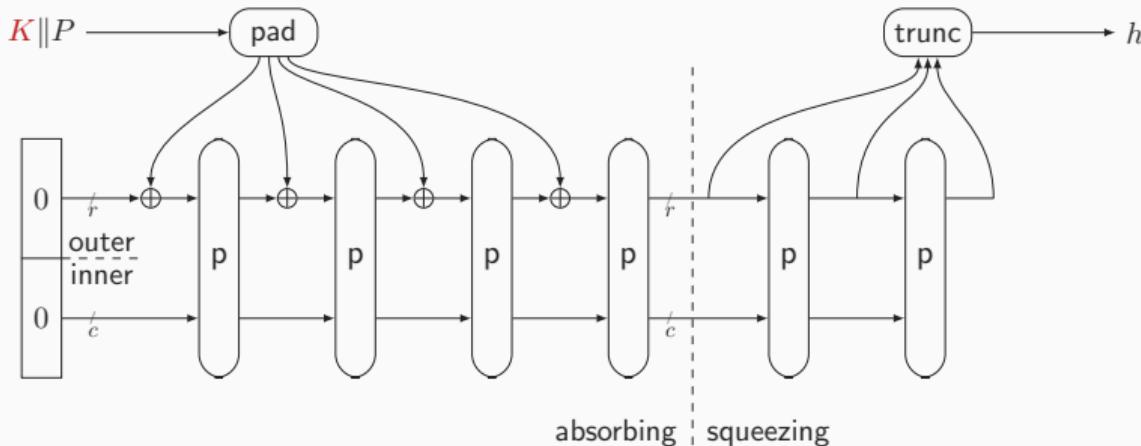
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Keyed Duplex

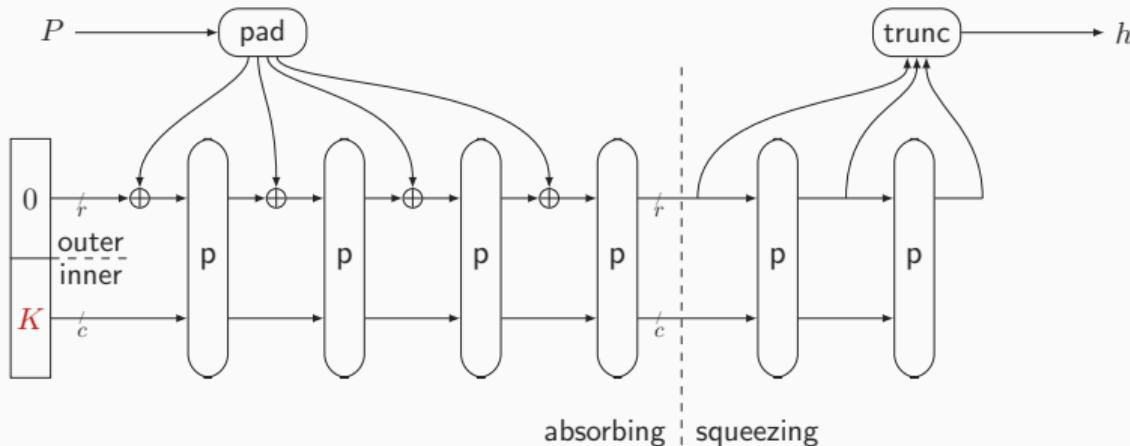
- Authenticated encryption
- Multiple CAESAR and NIST LWC submissions

Evolution of Keyed Sponges



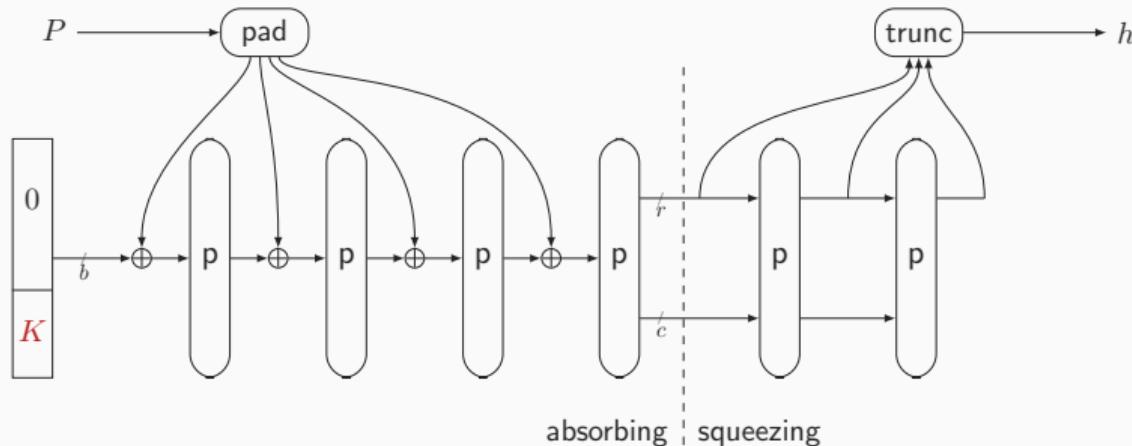
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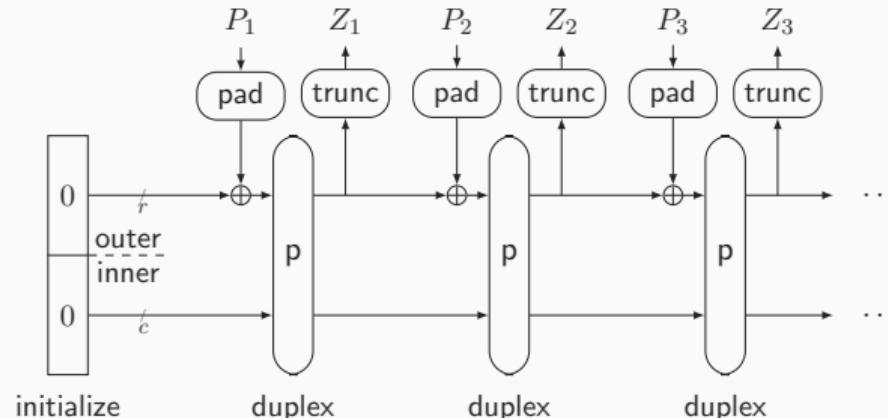
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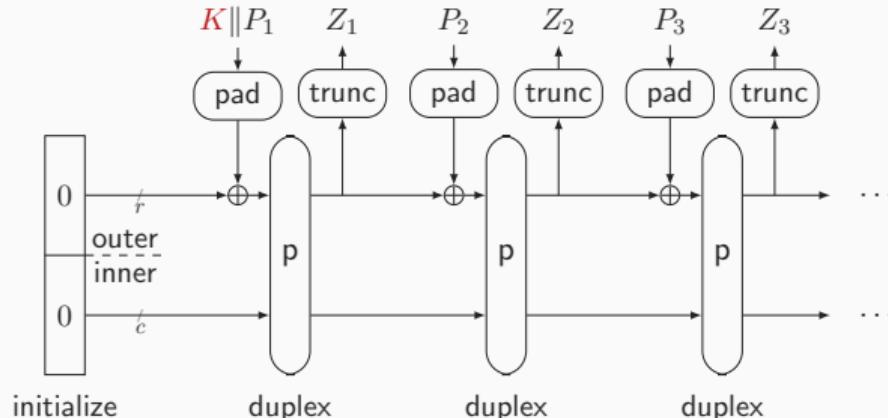
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- Full-Keyed Sponge [BDPV12, GT16, MRV15]

Evolution of Keyed Duplexes



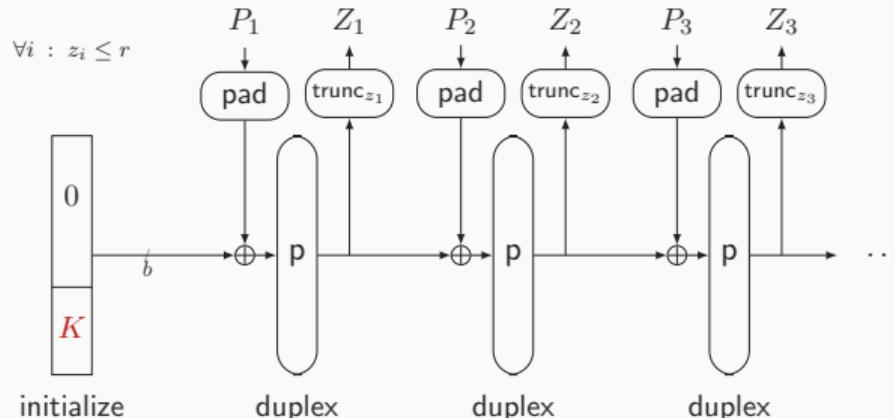
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Evolution of Keyed Duplexes



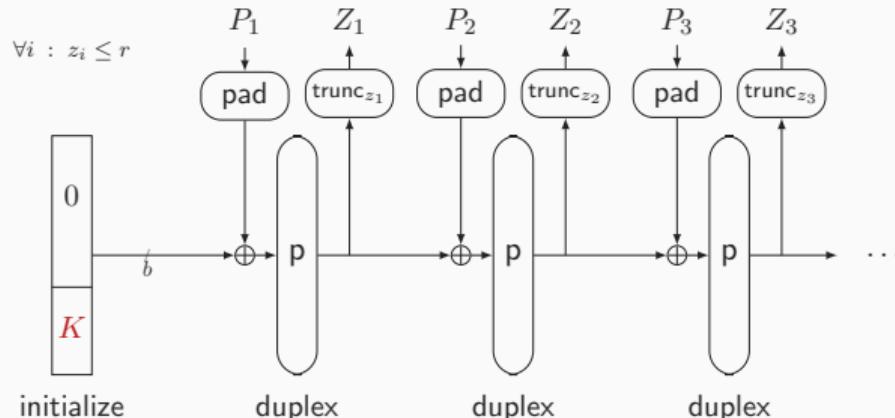
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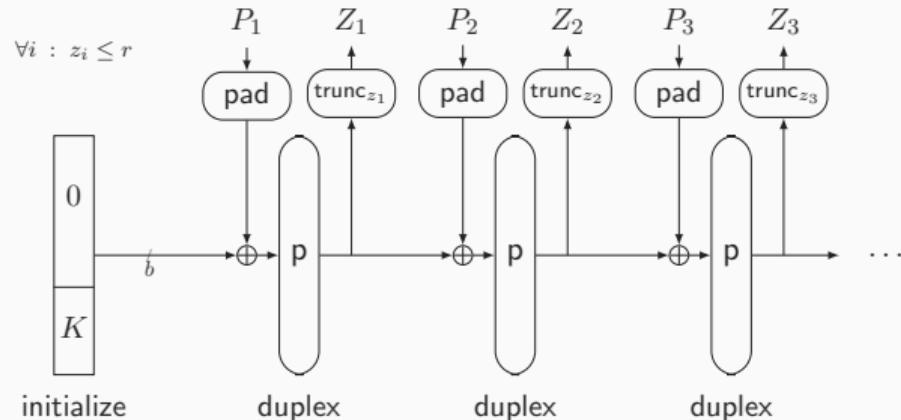
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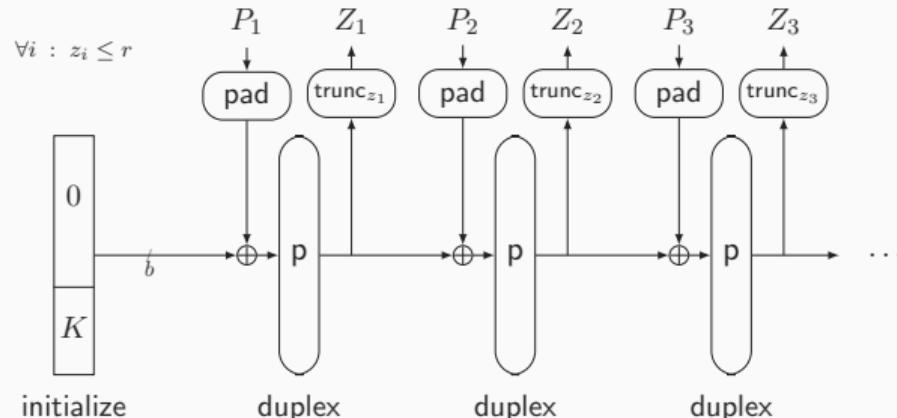


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Full-Keyed Duplex of [MRV15] (1)



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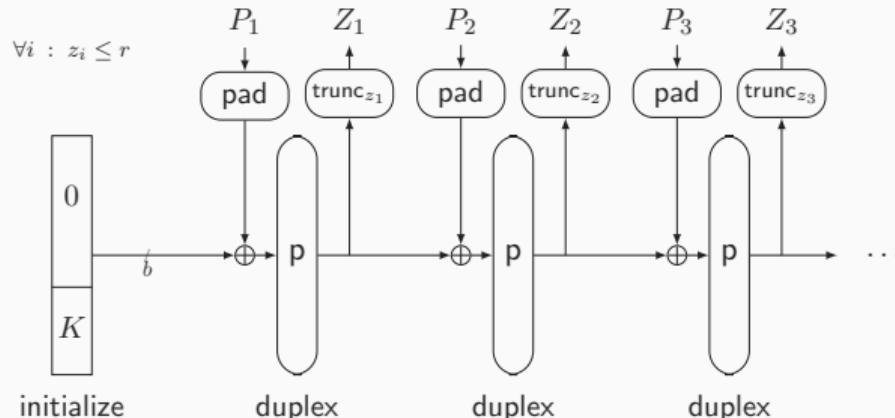


- M : data complexity (calls to construction)
- N : time complexity (calls to primitive)
- $\mu \leq 2M$: multiplicity ("maximum outer collision of p ")

Simplified Security Bound

$$\frac{\mu N}{2^k} + \frac{M^2}{2^c}$$

Full-Keyed Duplex of [MRV15] (1)



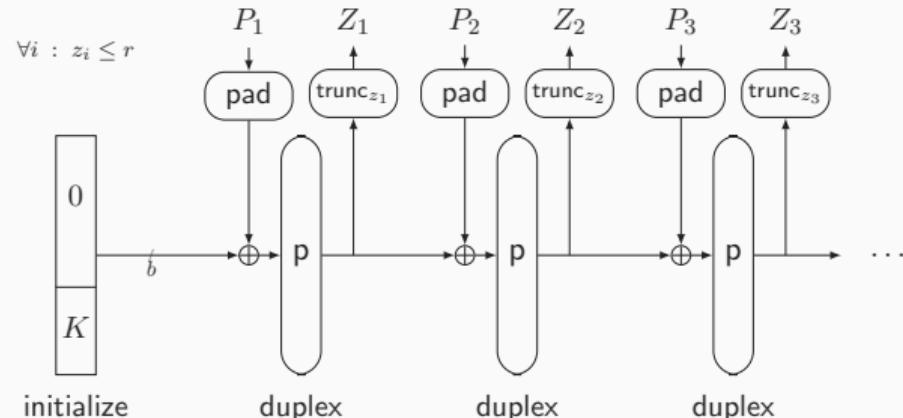
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scheme behaves "randomly" as long as this term is $\ll 1$

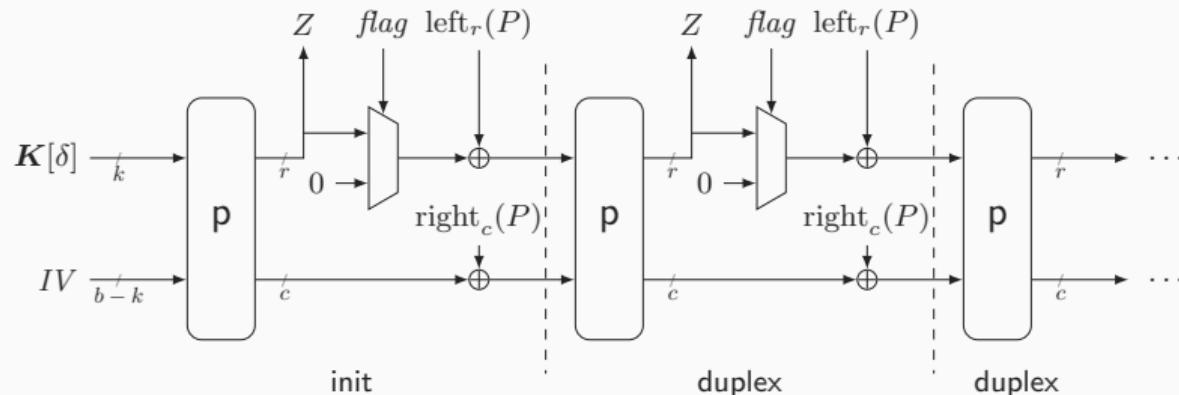
Full-Keyed Duplex of [MRV15] (2)



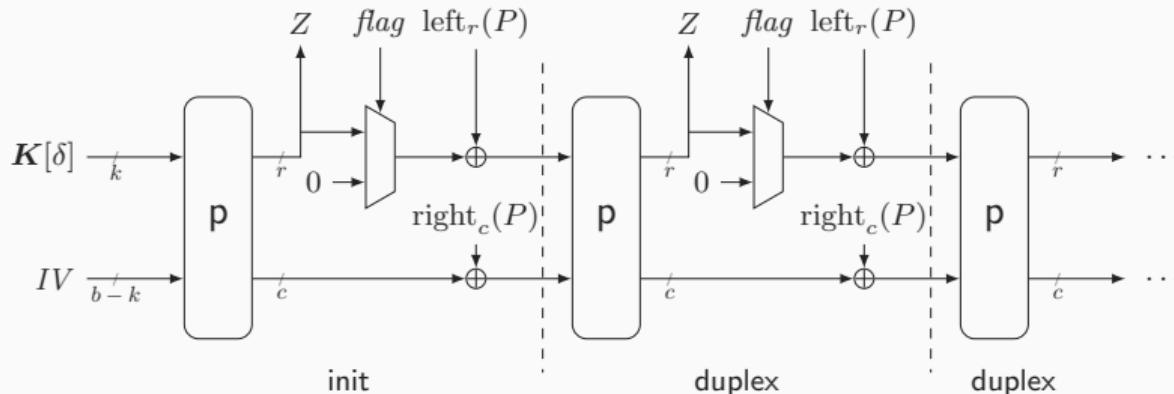
Limitations

- Multiplicity μ only known a posteriori
- Dominating term $\mu N/2^k$ rather than $\mu N/2^c$
- Limited flexibility in modeling adversarial power
(multi-user security, blockwise adaptive behavior, nonces, ...)

Full-Keyed Duplex of [DMV17] (1)



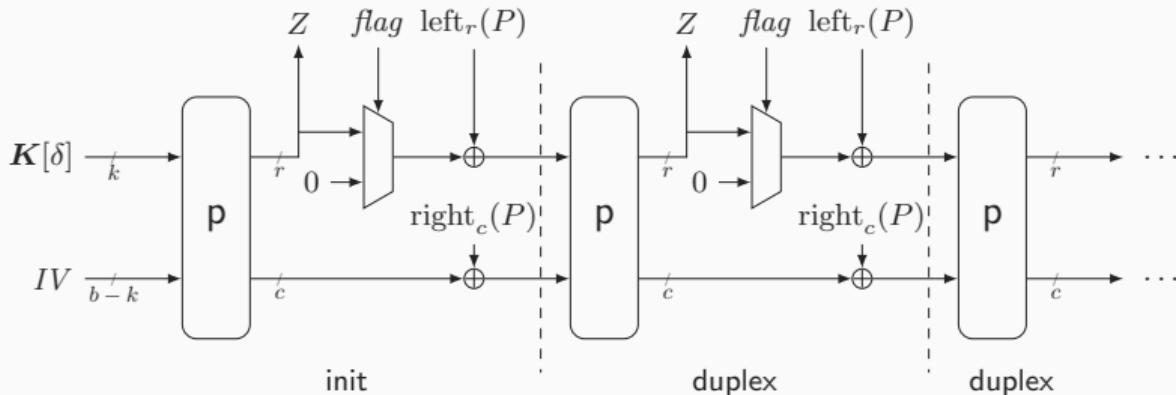
Full-Keyed Duplex of [DMV17] (1)



Features

- Multi-user by design: index δ specifies key in array
- Initial state: concatenation of $K[\delta]$ and IV
- Full-state absorption, no padding
- Rephasing: p, Z, P instead of P, p, Z
- Refined adversarial strength

Full-Keyed Duplex of [DMV17] (2)

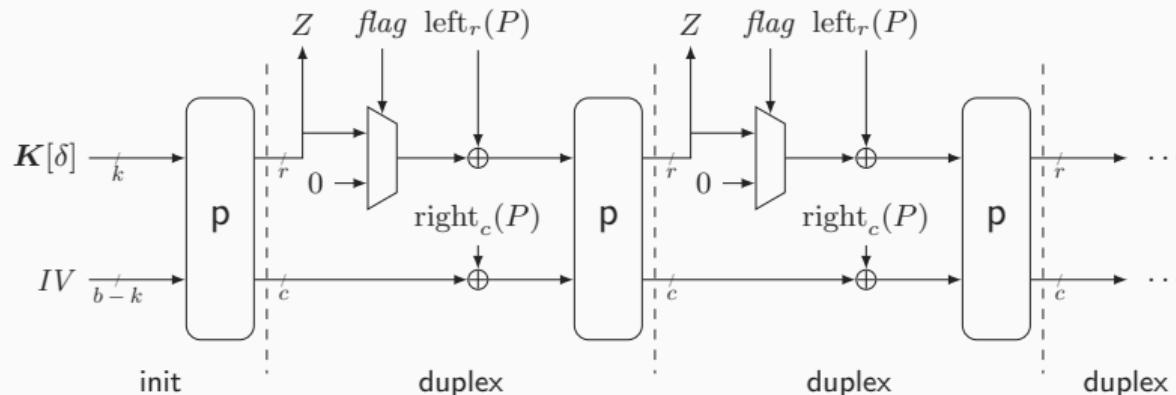


- M : data complexity (calls to construction)
- N : time complexity (calls to primitive)
- Q : number of init calls
- Q_{IV} : max # init calls for single IV
- L : # queries with repeated path (e.g., nonce-violation)
- Ω : # queries with overwriting outer part (e.g., RUP)
- $\nu_{r,c}^M$: some multicollision coefficient (often small)

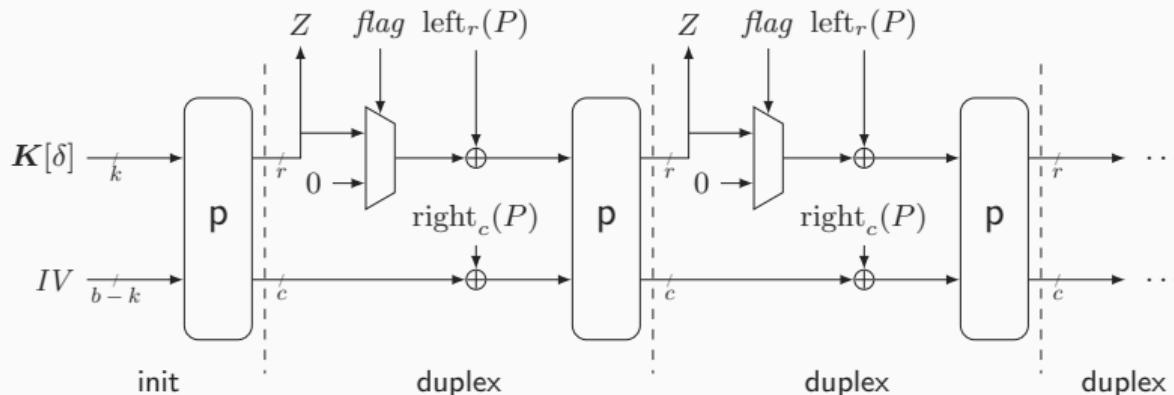
Simplified Security Bound

$$\frac{Q_{IV}N}{2^k} + \frac{(L + \Omega + \nu_{r,c}^M)N}{2^c}$$

Full-Keyed Duplex of [DM19] (1)



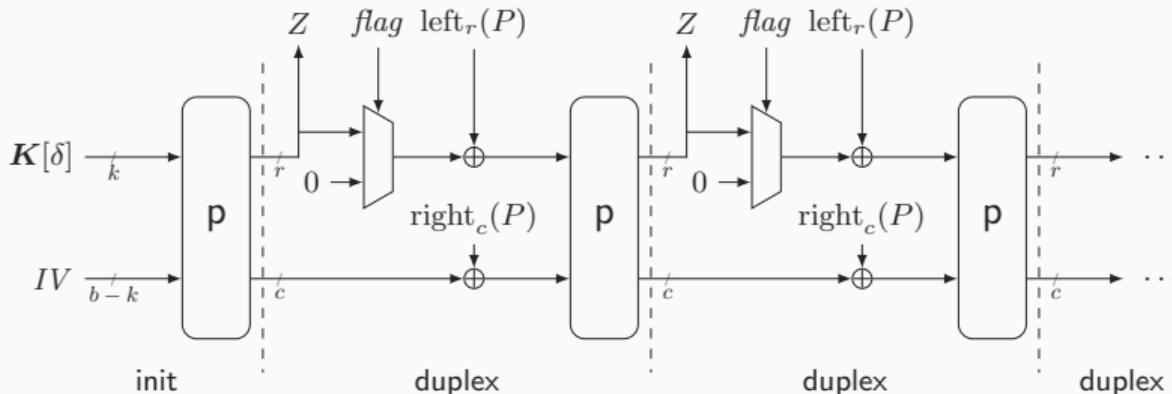
Full-Keyed Duplex of [DM19] (1)



Features

- Initialization can be rotated (not depicted)
- Another rephasing: Z, P, p instead of p, Z, P instead of P, p, Z
- Security analysis in leaky setting
- Even further refined adversarial strength
- Comparable bound

Full-Keyed Duplex of [DM19] (2)

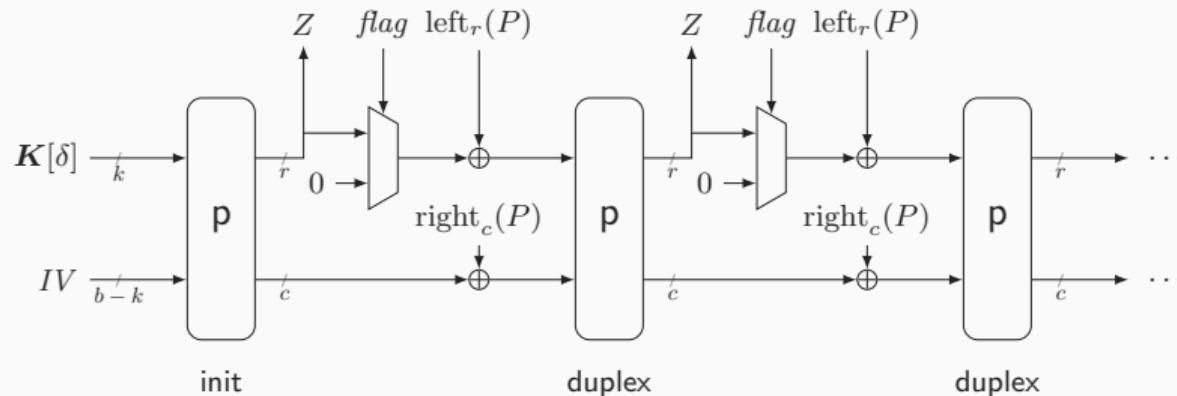


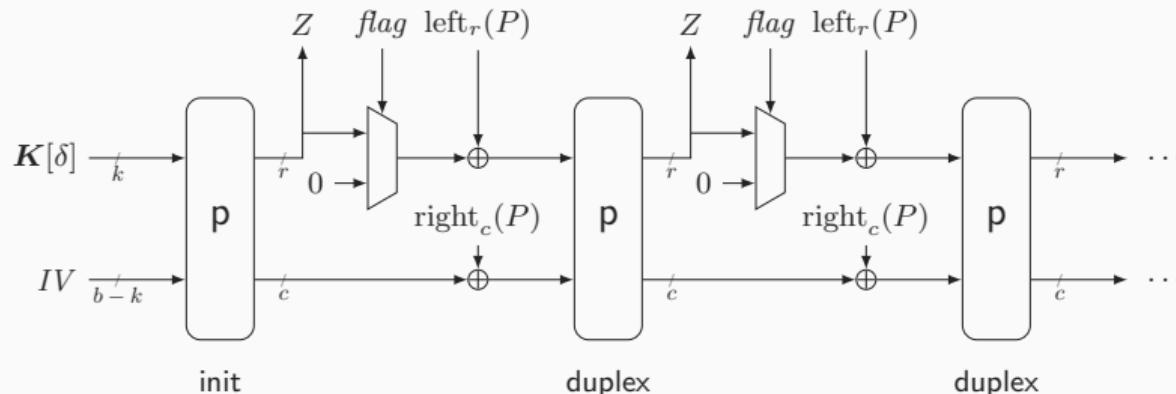
- M : data complexity (calls to construction)
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- Q : number of init calls
- Q_{IV} : max # init calls for single IV
- **Q_δ : maximum # init calls for single δ**
- L : # queries with repeated path (e.g., nonce-violation)
- Ω : # queries with overwriting outer part (e.g., RUP)
- **R : max # duplexing calls for single non-empty path**
- $\nu_{r,c}^M$: some multicollision coefficient (often small)

Simplified Security Bound

$$\frac{Q_{IV}N}{2^{k-Q_\delta\lambda}} + \frac{(L + \Omega + \nu_{r,c}^M)N}{2^{c-(R+1)\lambda}}$$

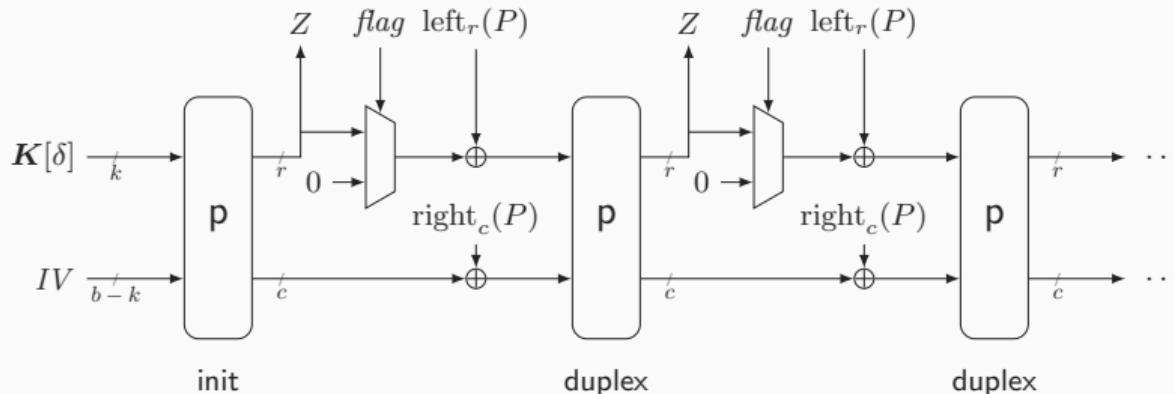
State of Affairs





Scheme: versatile but complex

- What about these rephasings?
- What about the flag?

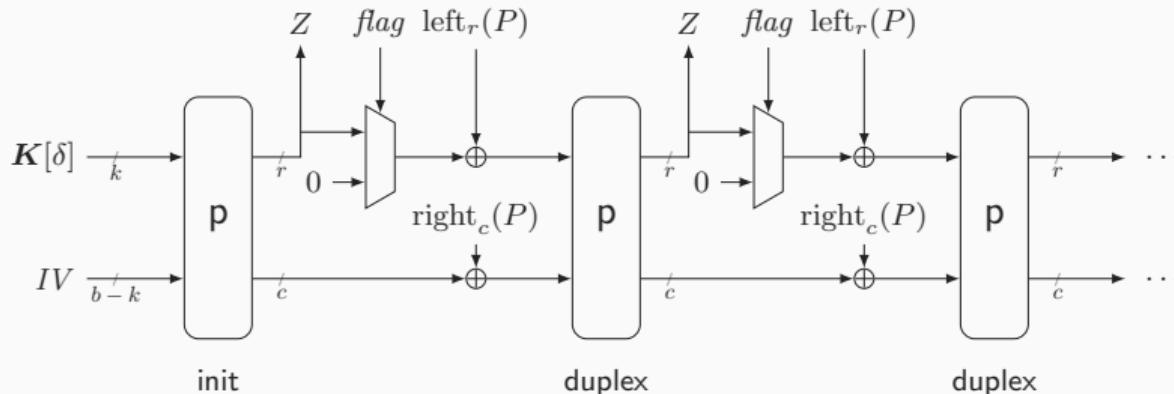


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- Bound quickly misunderstood
- Unclear how use case affects bound



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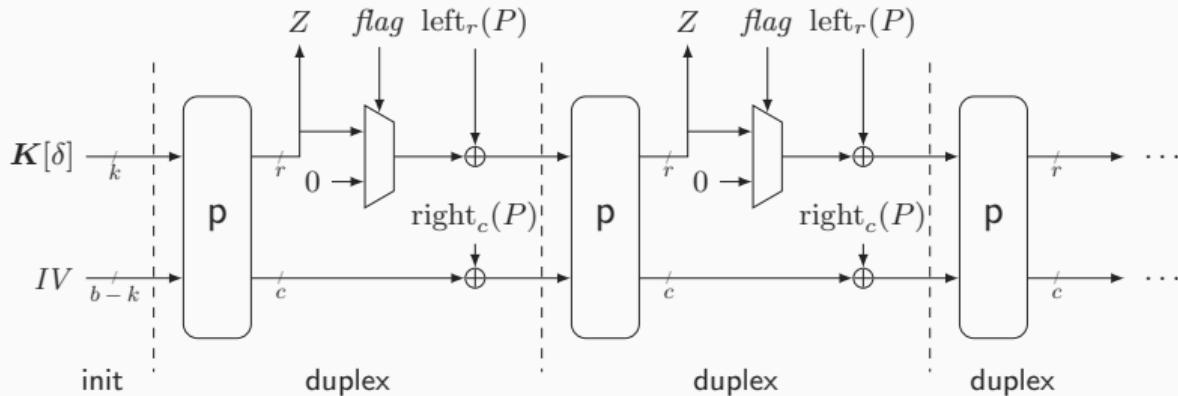
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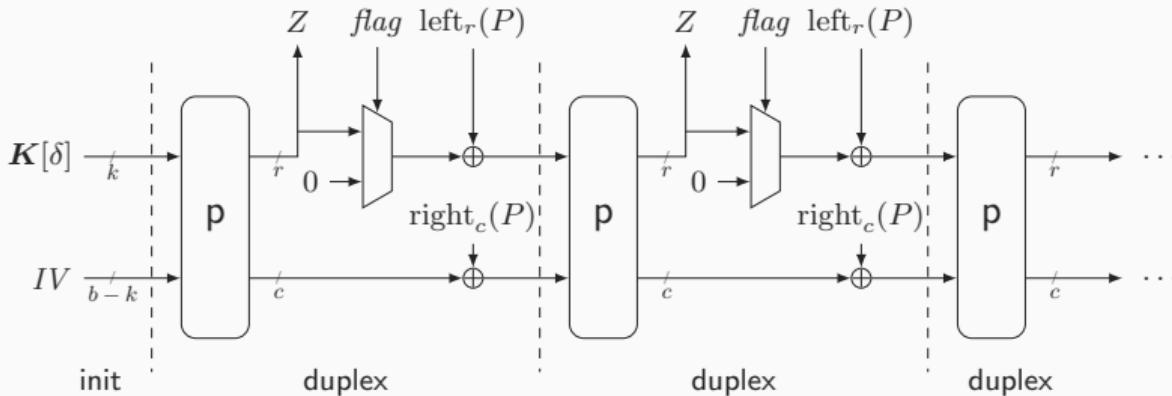
This work: explanation of the duplex, its security, and some applications

Understanding the Duplex

Generalized Keyed Duplex



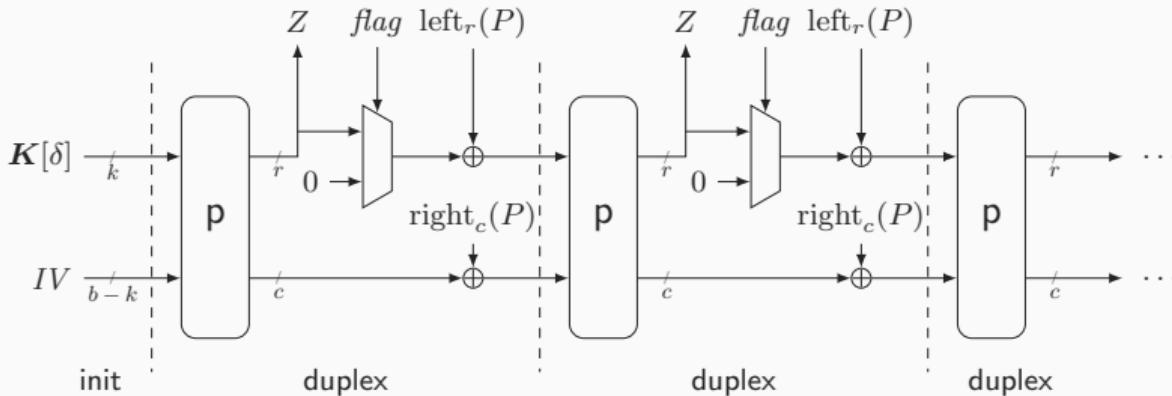
Generalized Keyed Duplex



Features

- Basically the scheme of [DMV17] and [DM19], but:
 - including possible initial state rotation (not depicted)
 - yet another rephasing

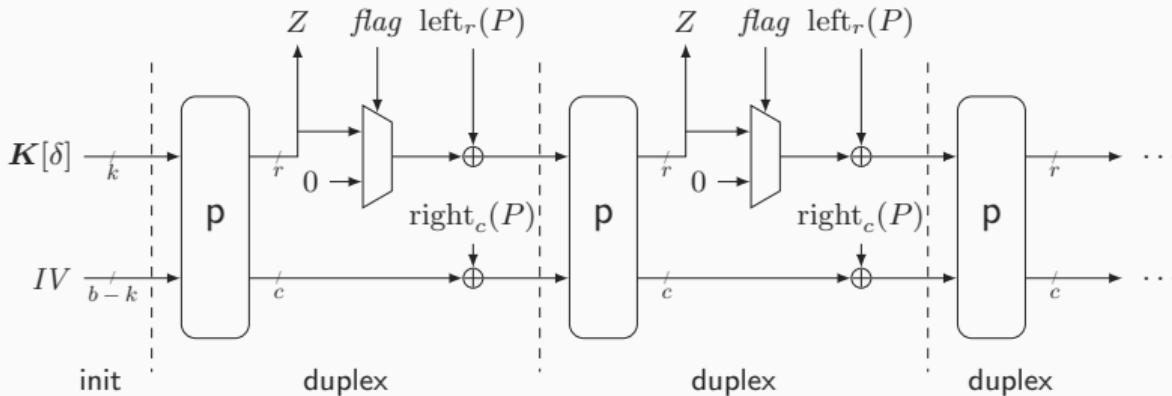
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Generalized Keyed Duplex



Features

- Basically the scheme of [DMV17] and [DM19], but:
 - including possible initial state rotation (not depicted)
 - yet another rephasing
- Security results of [DMV17] and [DM19] carry over
- First: understanding phasing and flagging

Generalized Keyed Duplex: Phasing

| | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|-----|
| A | P | S | A | P | S | A | P | S | A | ... |
|---|---|---|---|---|---|---|---|---|---|-----|

Generalized Keyed Duplex: Phasing

| | | | | | | | | | | | |
|-----------|------|---|---|--------|---|---|--------|---|---|-----|-----|
| | A | P | S | A | P | S | A | P | S | A | ... |
| [BDPV11a] | init | | | duplex | | | duplex | | | ... | |

- [BDPV11a]: duplex security reduced to sponge indifferentiability

Generalized Keyed Duplex: Phasing

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Generalized Keyed Duplex: Phasing

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|-----------|------|--------|---|--------|--------|---|--------|--------|---|-----|-----|
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| [DMV17] | init | | | duplex | | | duplex | | | ... | |
| [DM19] | init | duplex | | | duplex | | | duplex | | | ... |

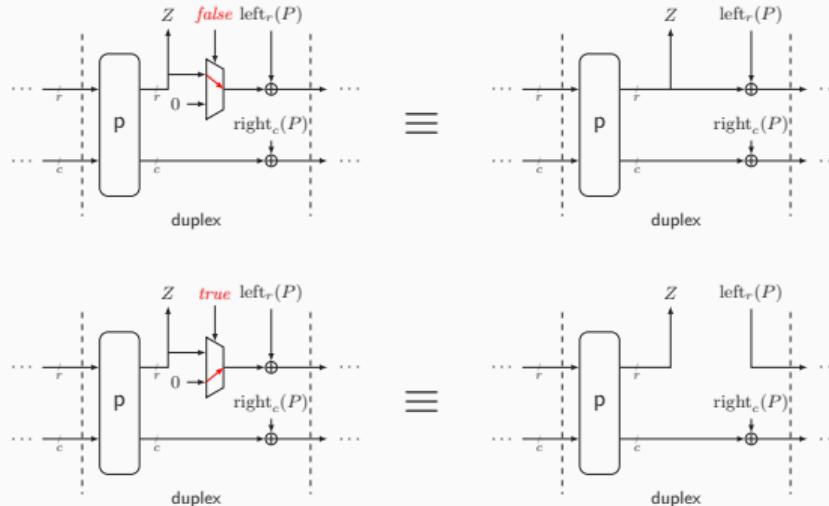
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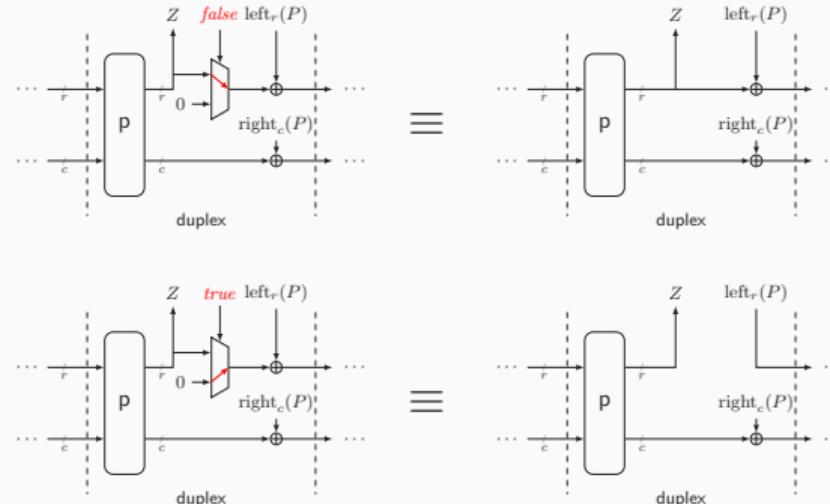
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| [DM19] | init | | duplex | | | duplex | | | duplex | | |
| now | init | duplex | | | duplex | | | duplex | | | ... |

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- [MRV15]: same structure but tighter bound
- [DMV17]: improved bound by re-structuring, but *flag* needed
- [DM19]: security analysis in leaky setting, include upcoming **p**
- now: seemingly most useful phasing

Generalized Keyed Duplex: Flag (1)

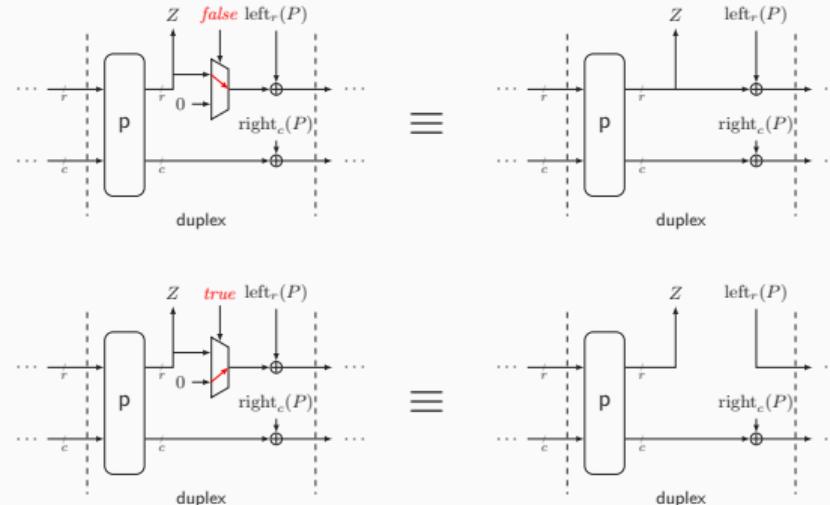


Generalized Keyed Duplex: Flag (1)



- Typical use case: authenticated encryption using duplex

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- Security decreases for increasing number of calls with $flag = true$
- Earlier P, p, Z phasing allowed outer part overwriting by default

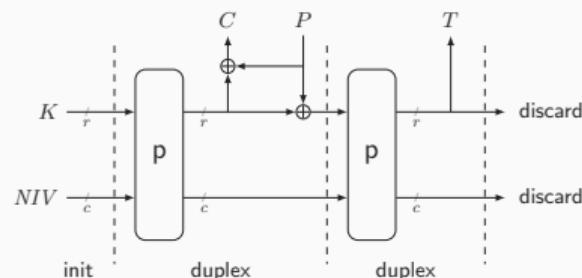
Generalized Keyed Duplex: Flag (2)

- Consider extreme simplification of SpongeWrap authenticated encryption
- Key K , plaintext P , ciphertext C , and tag T all r bits; nonce NIV c bits
- General case will be discussed later in this presentation

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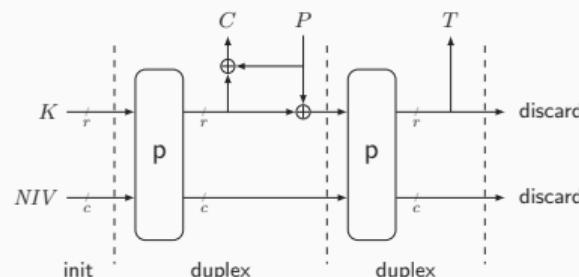
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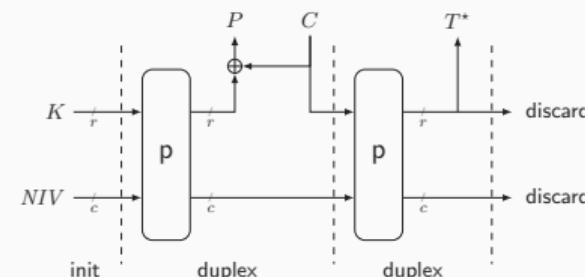
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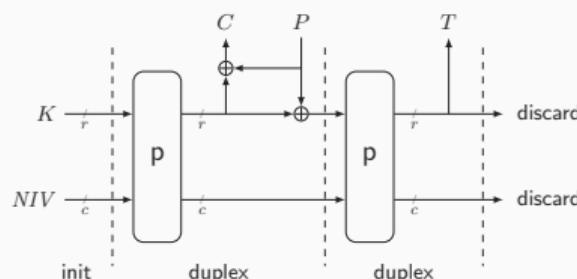
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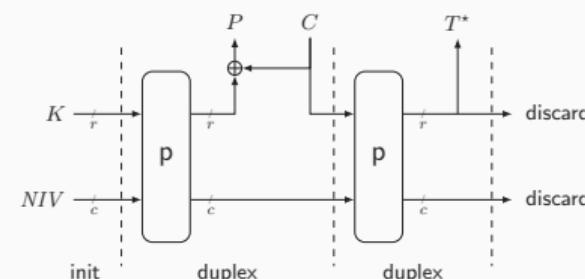
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Encryption



Decryption



- Duplex call with $\text{flag} = \text{true}$ upon decryption
- Adversary can choose C and thus fix outer part to value of its choice

Understanding Duplex Security

Security Model ([DMV17, DM19], with updated initial rotation and rephasing)

Algorithm Keyed duplex construction $\text{KD}[\text{p}]_K$

Interface: KD.init

Input: $(\delta, IV) \in \{1, \dots, \mu\} \times \mathcal{IV}$

Output: \emptyset

$S \leftarrow \text{rot}_\alpha(K[\delta] \parallel IV)$

return \emptyset

Interface: KD.duplex

Input: $(\text{flag}, P) \in \{\text{true}, \text{false}\} \times \{0, 1\}^b$

Output: $Z \in \{0, 1\}^r$

$S \leftarrow \text{p}(S)$

$Z \leftarrow \text{left}_r(S)$

$S \leftarrow S \oplus [\text{flag}] \cdot (Z \parallel 0^{b-r}) \oplus P$

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return  $Z$ 
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Algorithm Ideal extendable input function $\text{IXIF}[\text{ro}]$

Interface: IXIF.init

Input: $(\delta, IV) \in \{1, \dots, \mu\} \times \mathcal{IV}$

Output: \emptyset

```
 $path \leftarrow \text{encode}[\delta] \parallel IV$ 
```

```
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```
 $Z \leftarrow \text{ro}(path, r)$ 
```

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$$\mathbf{Adv}_{\text{KD}}(\mathcal{D}) = \Delta_{\mathcal{D}} (\text{KD}[\text{p}]_K, \text{p}^\pm ; \text{IXIF}[ro], \text{p}^\pm)$$

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$$\mathbf{Adv}_{\text{KD}}(\mathbf{D}) = \Delta_{\mathbf{D}} (\text{KD}[\mathbf{p}]_K, \mathbf{p}^\pm ; \text{IXIF}[ro], \mathbf{p}^\pm)$$

- $\text{IXIF}[ro]$ is basically random oracle in disguise

Security Model ([DMV17, DM19], with updated initial rotation and rephasing)

Algorithm Keyed duplex construction $\text{KD}[\mathbf{p}]_K$

Interface: KD.init

Input: $(\delta, IV) \in \{1, \dots, \mu\} \times \mathcal{IV}$

Output: \emptyset

$$S \leftarrow \text{rot}_\alpha(K[\delta] \parallel IV)$$

return \emptyset

Interface: KD.duplex

Input: $(flag, P) \in \{\text{true}, \text{false}\} \times \{0, 1\}^b$

Output: $Z \in \{0, 1\}^r$

$$S \leftarrow p(S)$$

$$Z \leftarrow \text{left}_r(S)$$

$$S \leftarrow S \oplus [flag] \cdot (Z \parallel 0^{b-r}) \oplus P$$

return Z

Algorithm Ideal extendable input function $\text{IXIF}[ro]$

Interface: IXIF.init

Input: $(\delta, IV) \in \{1, \dots, \mu\} \times \mathcal{IV}$

Output: \emptyset

$$path \leftarrow \text{encode}[\delta] \parallel IV$$

return \emptyset

Interface: IXIF.duplex

Input: $(flag, P) \in \{\text{true}, \text{false}\} \times \{0, 1\}^b$

Output: $Z \in \{0, 1\}^r$

$$Z \leftarrow ro(path, r)$$

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return Z

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- If $\text{KD}[\mathbf{p}]_K$ is hard to distinguish from $\text{IXIF}[ro]$ for certain bound on adversarial resources, $\text{KD}[\mathbf{p}]_K$ roughly “behaves like” random oracle

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- If $\text{KD}[\mathbf{p}]_K$ is hard to distinguish from $\text{IXIF}[ro]$ for certain bound on adversarial resources, $\text{KD}[\mathbf{p}]_K$ roughly “behaves like” random oracle
- Bound on adversarial resources is in turn determined by use case!

Security Bounds From [DMV17] and [DM19]

- M : data complexity (calls to construction)
- N : time complexity (calls to primitive)
- Q : number of init calls
- Q_{IV} : max # init calls for single IV
- L : # queries with repeated path (e.g., nonce-violation)
- Ω : # queries with overwriting outer part (e.g., RUP)
- $\nu_{r,c}^M$: some multicollision coefficient (often small)

Simplified Security Bound

$$\frac{Q_{IV}N}{2^k} + \frac{(L + \Omega + \nu_{r,c}^M)N}{2^c}$$

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Simplified Security Bound

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Actual Security Bounds (Retained)

- [DMV17]:

$$\text{Adv}_{\text{KD}}(\mathcal{D}) \leq \frac{(L + \Omega)N}{2^c} + \frac{2\nu_{r,c}^{2(M-L)}(N+1)}{2^c} + \frac{\binom{L+\Omega+1}{2}}{2^c} + \frac{(M - L - Q)Q}{2^b - Q} + \frac{M(M - L - 1)}{2^b} + \frac{Q(M - L - Q)}{2^{\min\{c+k, \max\{b-\alpha, c\}\}}} + \frac{Q_{IV}N}{2^k} + \frac{\binom{\mu}{2}}{2^k}$$

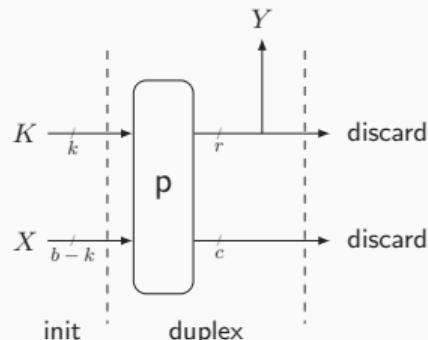
- [DM19] (with one simplification):

$$\text{Adv}_{\text{KD}}(\mathcal{D}) \leq \frac{(L + \Omega)N}{2^c} + \frac{2\nu_{r,c}^M(N+1)}{2^c} + \frac{\nu_{r,c}^M(L + \Omega) + \binom{L+\Omega}{2}}{2^c} + \frac{\binom{M-L-Q}{2} + (M - L - Q)(L + \Omega)}{2^b} + \frac{\binom{M+N}{2} + \binom{N}{2}}{2^b} + \frac{Q(M - Q)}{2^{\min\{c+k, \max\{b-\alpha, c\}\}}} + \frac{Q_{IV}N}{2^k} + \frac{\binom{\mu}{2}}{2^k}$$

Intermezzo: Multicollision Coefficient (Skipped)

Use Case 1: Truncated Permutation

Truncated Permutation



Algorithm Truncated permutation $\text{TP}[p]$

Input: $(K, X) \in \{0, 1\}^k \times \{0, 1\}^{b-k}$

Output: $Y \in \{0, 1\}^r$

Underlying keyed duplex: $\text{KD}[p]_{(K)}$

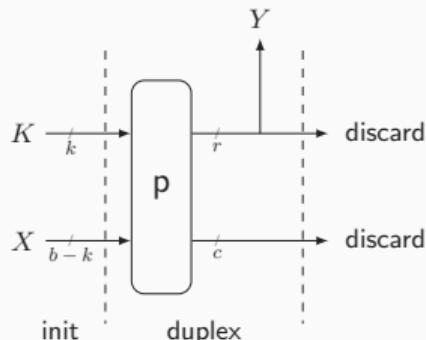
$\text{KD.init}(1, X)$

$Y \leftarrow \text{KD.duplex}(\text{false}, 0^b)$

return Y

- PRP-to-PRF conversion: SoP/EDM/EDMD/truncation/STH/...

Truncated Permutation



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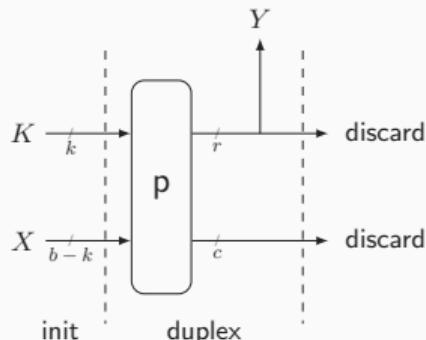
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- PRP-to-PRF conversion: SoP/EDM/EDMD/truncation/STH/...
- Trend towards RP-to-PRF conversion:
 - Sum of externally keyed permutations [CLM19]
 - Permutation-based EDM [DNT21]

Truncated Permutation



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- PRP-to-PRF conversion: SoP/EDM/EDMD/truncation/STH/...
- Trend towards RP-to-PRF conversion:
 - Sum of externally keyed permutations [CLM19]
 - Permutation-based EDM [DNT21]
- Truncation of externally keyed permutation **can be described using duplex**

Truncated Permutation: Security (1)

- Consider distinguisher D against PRF security of $\text{TP}[p]$

$$\mathbf{Adv}_{\text{TP}}^{\text{prf}}(D) = \Delta_D \left(\text{TP}[p]_K, p^\pm ; R^{\text{prf}}, p^\pm \right)$$

- D can make q construction queries + N primitive queries

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 = 0

Truncated Permutation: Security (1)

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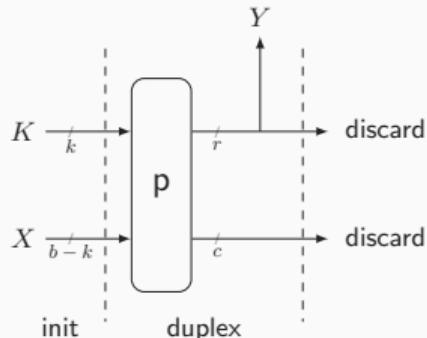
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- What are the resources of D' ?

Truncated Permutation: Security (2)



Algorithm Truncated permutation $\text{TP}[p]$

Input: $(K, X) \in \{0, 1\}^k \times \{0, 1\}^{b-k}$

Output: $Y \in \{0, 1\}^r$

Underlying keyed duplex: $\text{KD}[p]_{(K)}$

$\text{KD.init}(1, X)$

$Y \leftarrow \text{KD.duplex}(\text{false}, 0^b)$

return Y

resources of D'

in terms of resources of D

M : data complexity (calls to construction)

N : time complexity (calls to primitive)

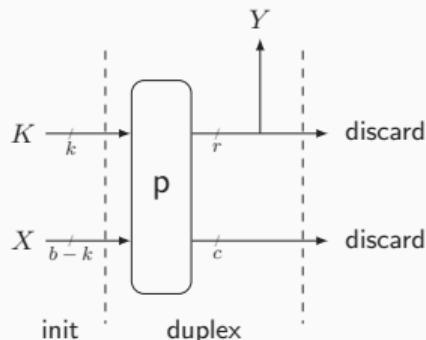
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————— \longrightarrow ————— N

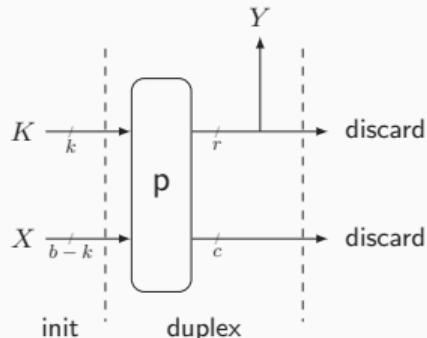
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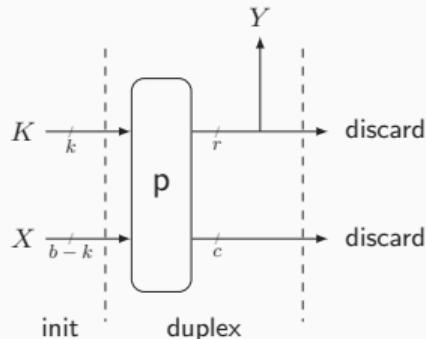
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| resources of D' | in terms of | resources of D |
|--------------------------------------------------|-------------------|------------------|
| M : data complexity (calls to construction) | \longrightarrow | q |
| N : time complexity (calls to primitive) | \longrightarrow | N |
| Q : number of init calls | \longrightarrow | q |
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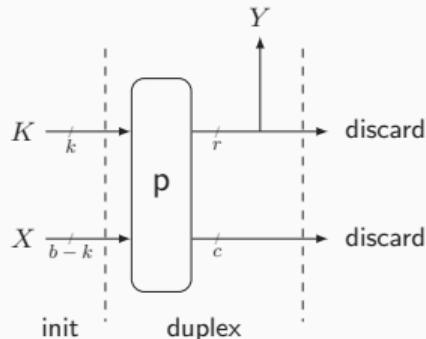
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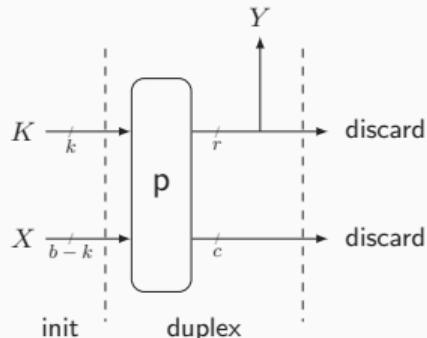
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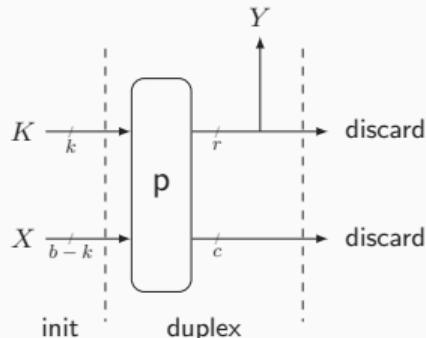
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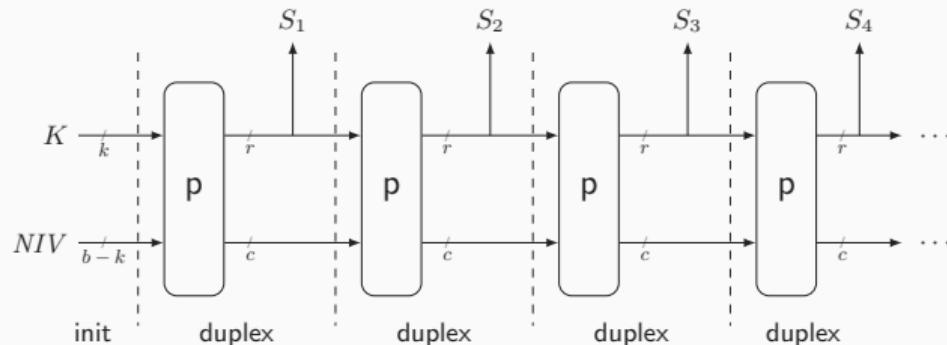
| resources of D' | in terms of | resources of D |
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From [DMV17] (in single-user setting): $\mathbf{Adv}_{\text{KD}}(D') \leq \frac{2\nu_{r,c}^{2q}(N+1)}{2^c} + \frac{2\binom{q}{2}}{2^b} + \frac{N}{2^k}$

Use Case 2: Parallel Keystream Generation (Skipped)

Use Case 3: Sequential Keystream Generation

Sequential Keystream Generation



- Input: key K , nonce NIV
- Output: keystream S of requested length

Algorithm Sequential keystream generation S-SC[p]

Input: $(K, NIV, \ell) \in \{0, 1\}^k \times \{0, 1\}^{b-k} \times \mathbb{N}$

Output: $S \in \{0, 1\}^\ell$

Underlying keyed duplex: KD[p]_(K)

$S \leftarrow \emptyset$

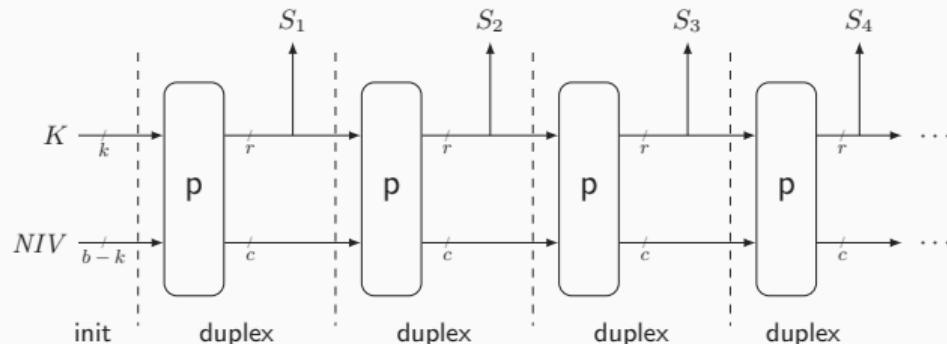
KD.init(1, NIV)

for $i = 1, \dots, \lceil \ell/r \rceil$ **do**

$S \leftarrow S \parallel \text{KD.duplex}(\text{false}, 0^b)$

return left $_\ell(S)$

Sequential Keystream Generation



- Input: key K , nonce NIV
- Output: keystream S of requested length
- PRF security of $S\text{-SC}[p]$:
 - Comparable analysis as for $\text{TP}[p]$

Algorithm Sequential keystream generation $S\text{-SC}[p]$

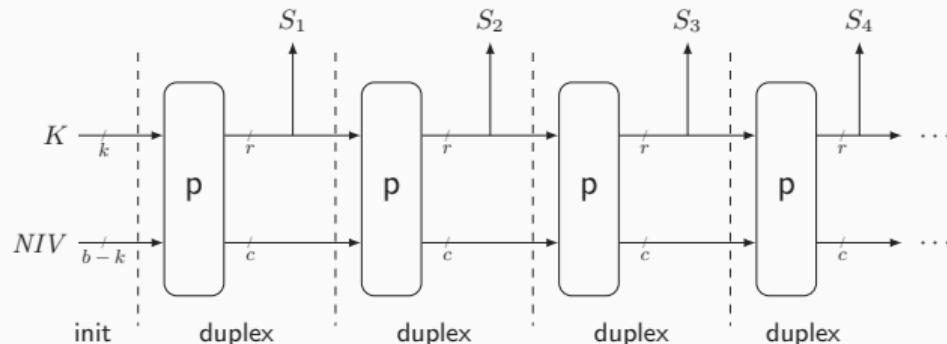
Input: $(K, NIV, \ell) \in \{0, 1\}^k \times \{0, 1\}^{b-k} \times \mathbb{N}$

Output: $S \in \{0, 1\}^\ell$

Underlying keyed duplex: $\text{KD}[p]_{(K)}$

```
 $S \leftarrow \emptyset$ 
 $\text{KD.init}(1, NIV)$ 
 $\text{for } i = 1, \dots, [\ell/r] \text{ do}$ 
     $S \leftarrow S \parallel \text{KD.duplex}(\text{false}, 0^b)$ 
 $\text{return left}_\ell(S)$ 
```

Sequential Keystream Generation



- Input: key K , nonce NIV
- Output: keystream S of requested length
- PRF security of $S\text{-SC}[p]$:
 - Comparable analysis as for $\text{TP}[p]$
 - Resources of D' slightly differ

Algorithm Sequential keystream generation $S\text{-SC}[p]$

Input: $(K, NIV, \ell) \in \{0, 1\}^k \times \{0, 1\}^{b-k} \times \mathbb{N}$

Output: $S \in \{0, 1\}^\ell$

Underlying keyed duplex: $\text{KD}[p]_{(K)}$

```
 $S \leftarrow \emptyset$ 
KD.init( $1, NIV$ )
for  $i = 1, \dots, [\ell/r]$  do
   $S \leftarrow S \parallel \text{KD.duplex}(false, 0^b)$ 
return left $_\ell(S)$ 
```

Sequential Keystream Generation: Security

- Consider distinguisher D against PRF security of $S\text{-SC}[p]$

$$\mathbf{Adv}_{S\text{-SC}}^{\text{prf}}(D) = \Delta_D \left(S\text{-SC}[p]_K, p^\pm ; R^{\text{prf}}, p^\pm \right)$$

- D can make q construction queries (total σ blocks) + N primitive queries

Sequential Keystream Generation: Security

- Consider distinguisher D against PRF security of $S\text{-SC}[p]$

$$\mathbf{Adv}_{S\text{-SC}}^{\text{prf}}(D) = \Delta_D(S\text{-SC}[p]_K, p^\pm ; R^{\text{prf}}, p^\pm)$$

- D can make q construction queries (total σ blocks) + N primitive queries
- Triangle inequality: $\mathbf{Adv}_{S\text{-SC}}^{\text{prf}}(D) \leq \Delta_{D'}(KD[p]_K, p^\pm ; IXIF[ro], p^\pm)$

Sequential Keystream Generation: Security

- Consider distinguisher D against PRF security of $S\text{-SC}[p]$

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- What are the resources of D' ?

Sequential Keystream Generation: Security

- Consider distinguisher D against PRF security of $S\text{-SC}[p]$

$$\mathbf{Adv}_{S\text{-SC}}^{\text{prf}}(D) = \Delta_D(S\text{-SC}[p]_K, p^\pm ; R^{\text{prf}}, p^\pm)$$

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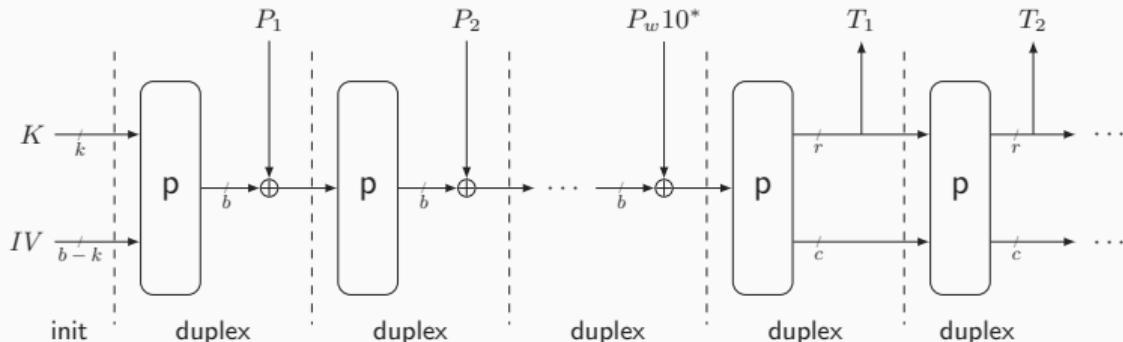
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Use Case 4: Message Authentication

Full-State Keyed Sponge [BDPV12]



- Input: key K , initial value IV , message P
- Output: tag T

Algorithm Full-state keyed sponge FSKS[p]

Input: $(K, IV, P) \in \{0, 1\}^k \times \mathcal{IV} \times \{0, 1\}^*$

Output: $T \in \{0, 1\}^t$

Underlying keyed duplex: $\text{KD}[p]_{(K)}$

$(P_1, P_2, \dots, P_w) \leftarrow \text{pad}_b^{10^*}(P)$

$T \leftarrow \emptyset$

$\text{KD.init}(1, IV)$

for $i = 1, \dots, w$ **do**

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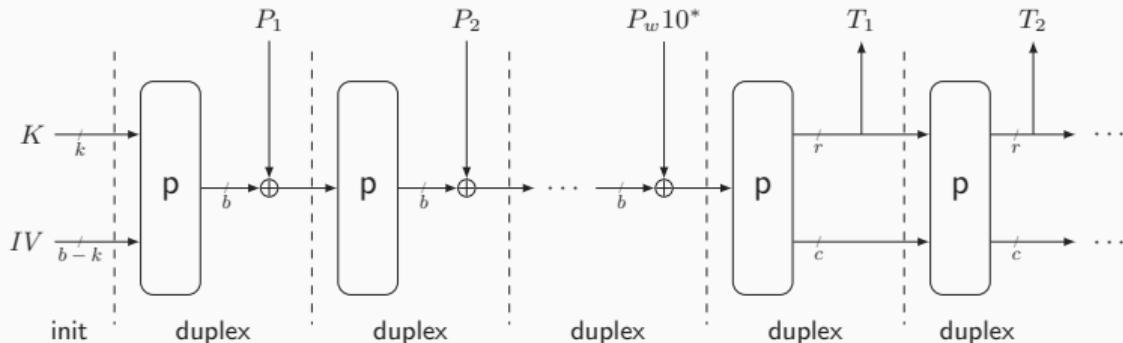
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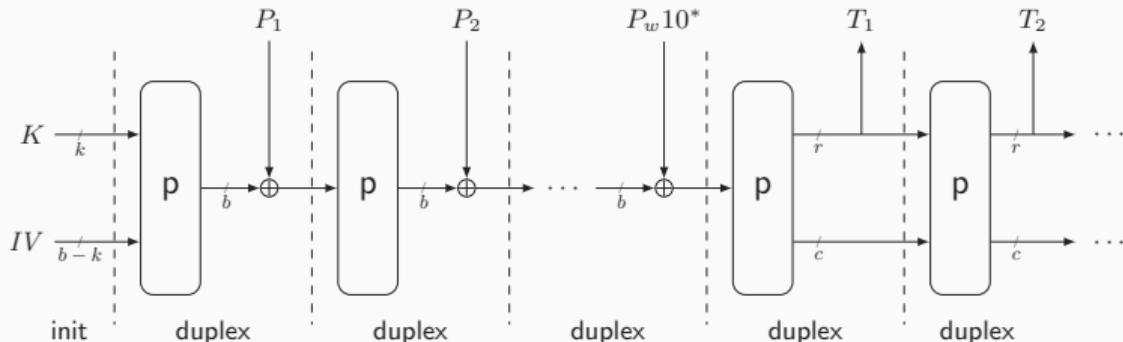
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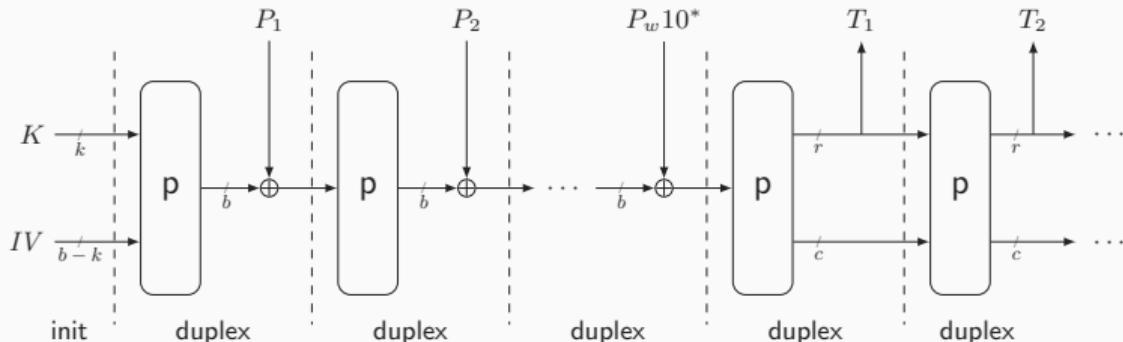
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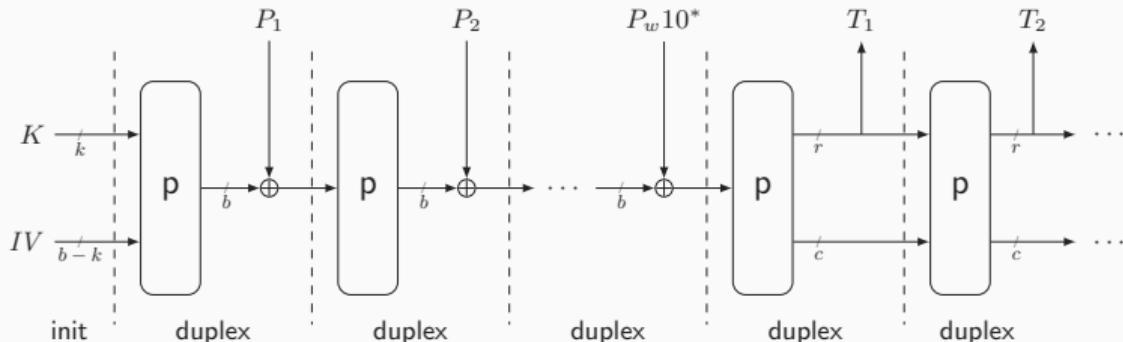


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influence of L

Full-State Keyed Sponge: Adversarial Power in Influencing Outer Part

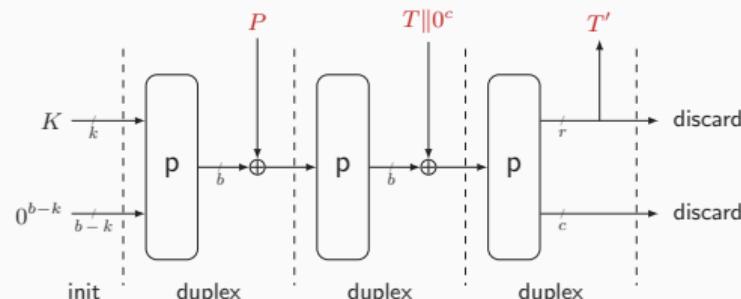
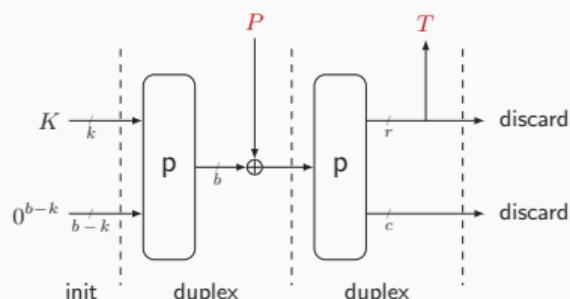
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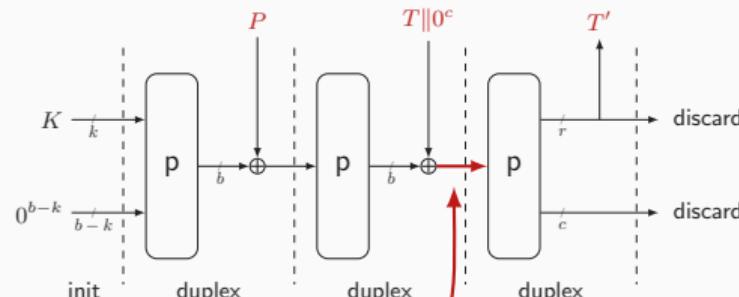
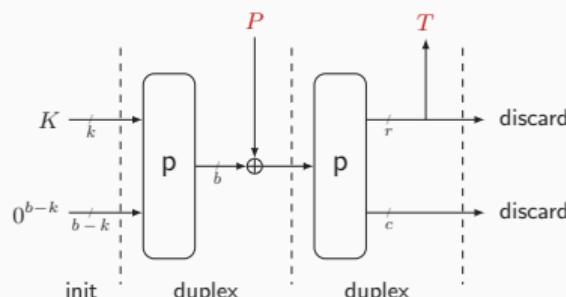
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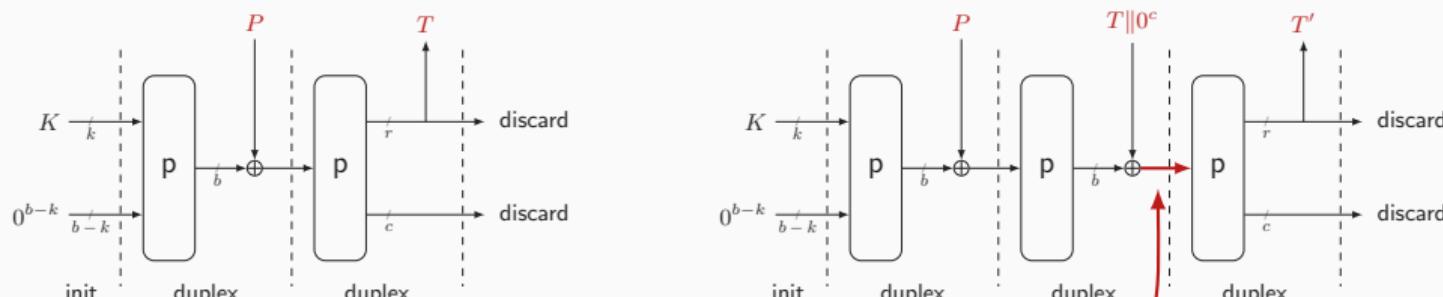
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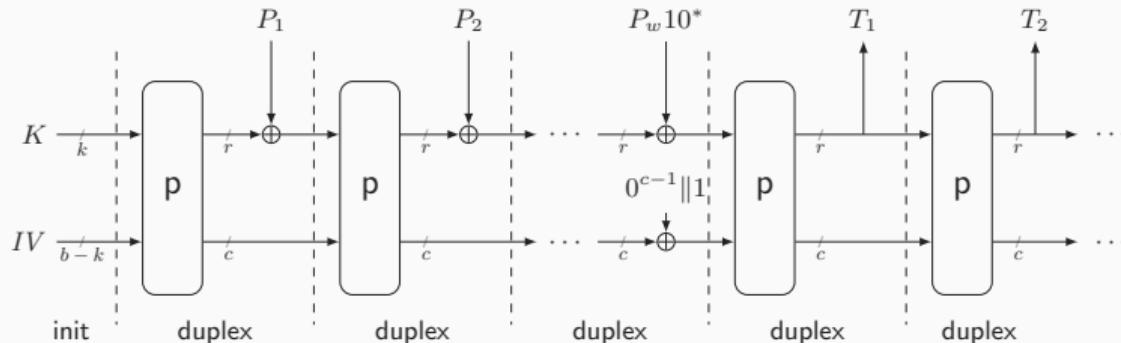
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- State of second query before squeezing equals $0^r\|*\^c$
- Key recovery attack:
 - Make q twin queries as above and N primitive queries of form $0^r\|*\^c$
 - Construction-primitive collision (likely if $\frac{q \cdot N}{2^c} \approx 1$) \longrightarrow derive K



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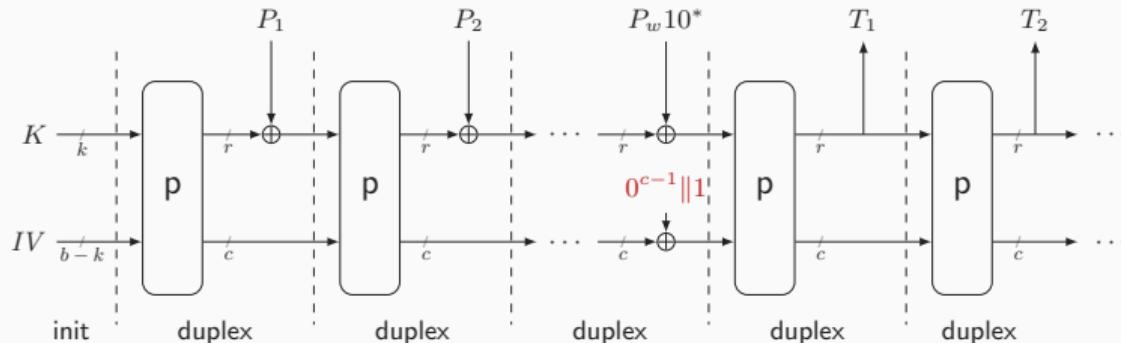
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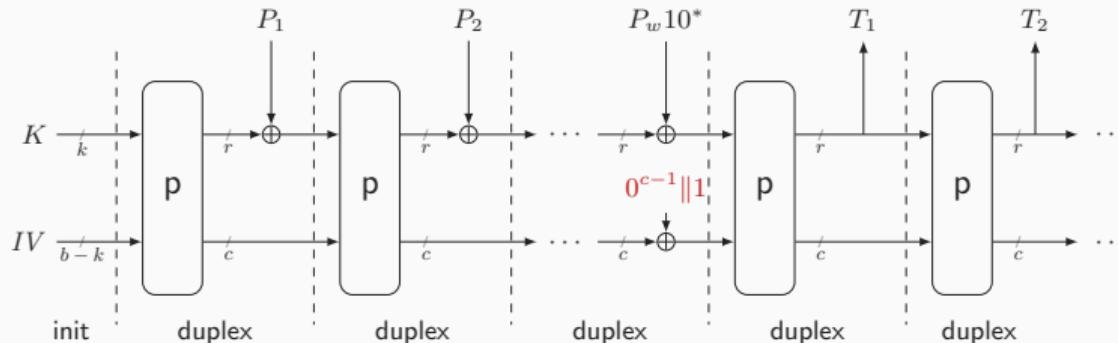
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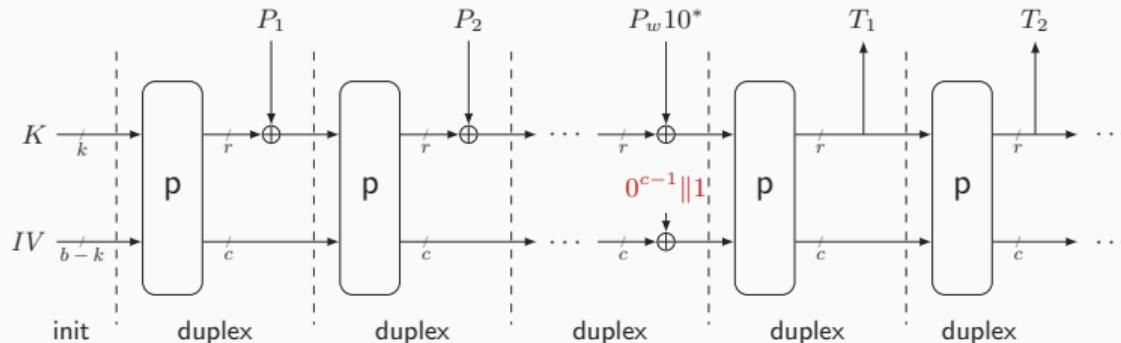
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- Output: tag T
- **Domain separation** solves problem of repeated paths
 - Repeated paths may still occur...
 - ... but adversary cannot exploit them

Algorithm Ascon-PRF[p]

```

Input:  $(K, IV, P) \in \{0, 1\}^k \times \mathcal{IV} \times \{0, 1\}^*$ 
Output:  $T \in \{0, 1\}^t$ 
Underlying keyed duplex: KD[p]_(K)
 $(P_1, P_2, \dots, P_w) \leftarrow \text{pad}_r^{10^*}(P)$ 
 $T \leftarrow \emptyset$ 
KD.init( $1, IV$ )
for  $i = 1, \dots, w - 1$  do
    KD.duplex( $false, P_i$ ) ▷ discard output
    KD.duplex( $false, P_w \parallel 0^{c-1}1$ )
for  $i = 1, \dots, \lceil t/r \rceil$  do
     $T \leftarrow T \parallel \text{KD.duplex}(\text{false}, 0^b)$ 
return  $\text{left}_t(T)$ 

```

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- Improved bound from [DMV17]:
 - Loose bounding in original proof
 - Resolving this loose bounding makes $\frac{(q-1)N + \binom{q}{2}}{2^c}$ vanish

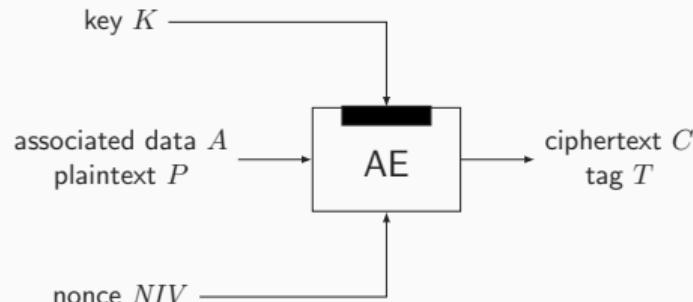
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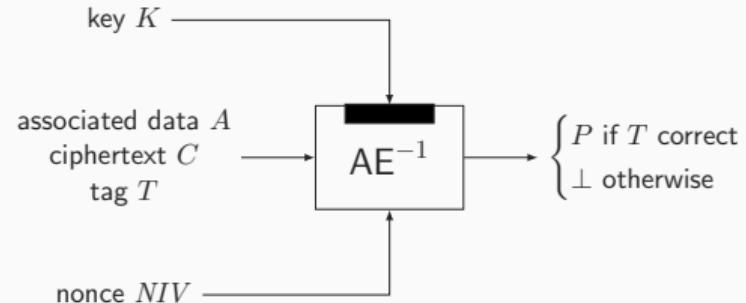
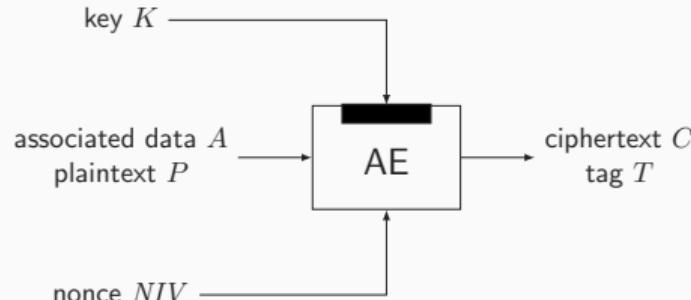
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- Improved bound from [DM19]:
 - Defines additional parameter $\nu_{\text{fix}} \leq L + \Omega$
 - In most cases $\nu_{\text{fix}} = L + \Omega$; for current case $\nu_{\text{fix}} = 0$
 - Dominant term $\frac{(q-1)N + \binom{q}{2}}{2^c}$ never appears in the first place

Use Case 5: Authenticated Encryption (Shortened)

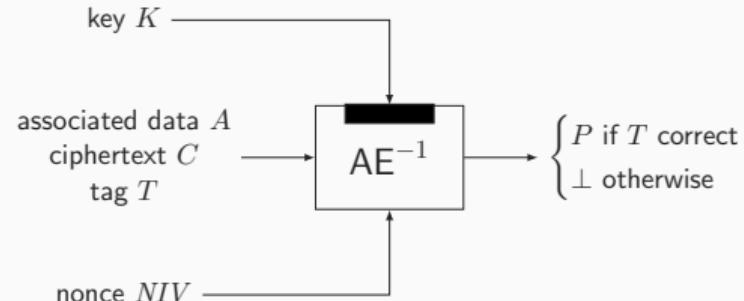
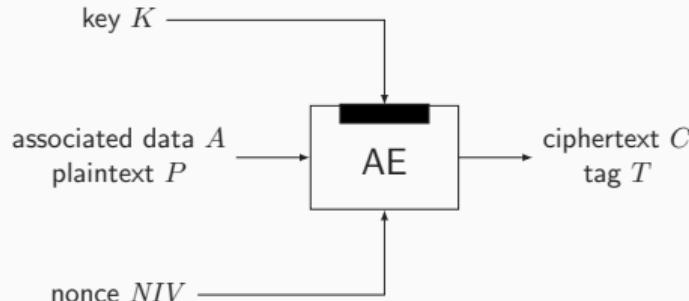
Authenticated Encryption



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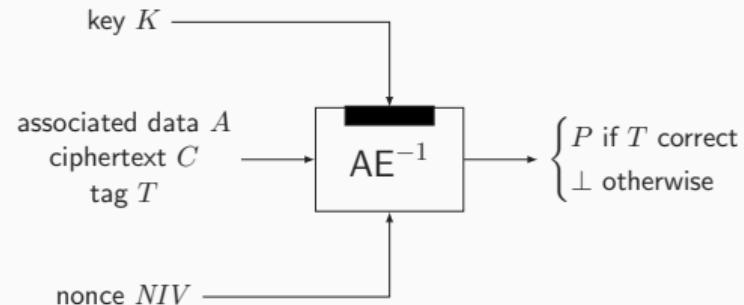
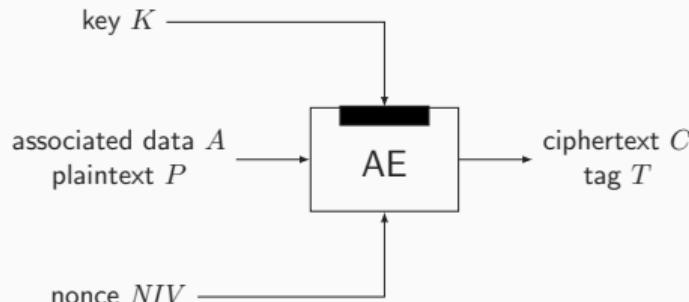
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Role of Duplex

- Blockwise construction allows for processing different types of in-/output

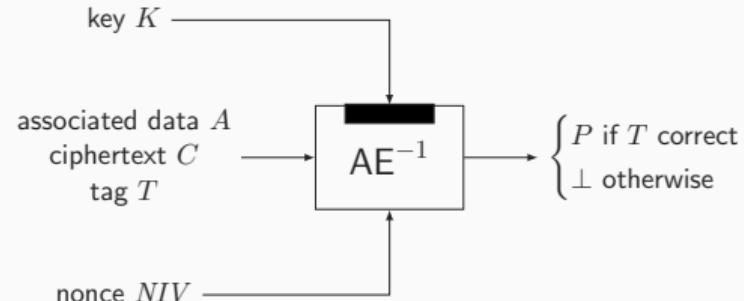
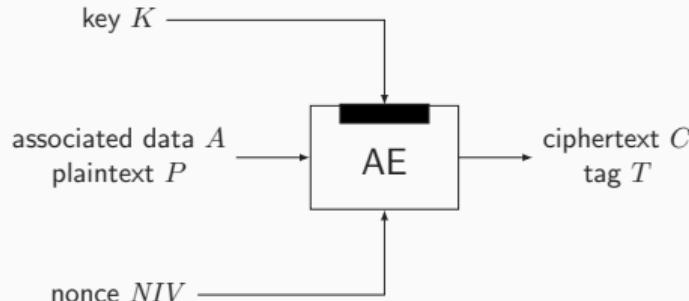
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- Blockwise construction allows for processing different types of in-/output
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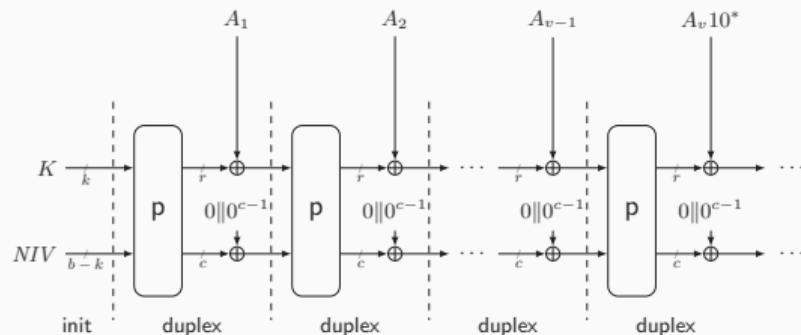
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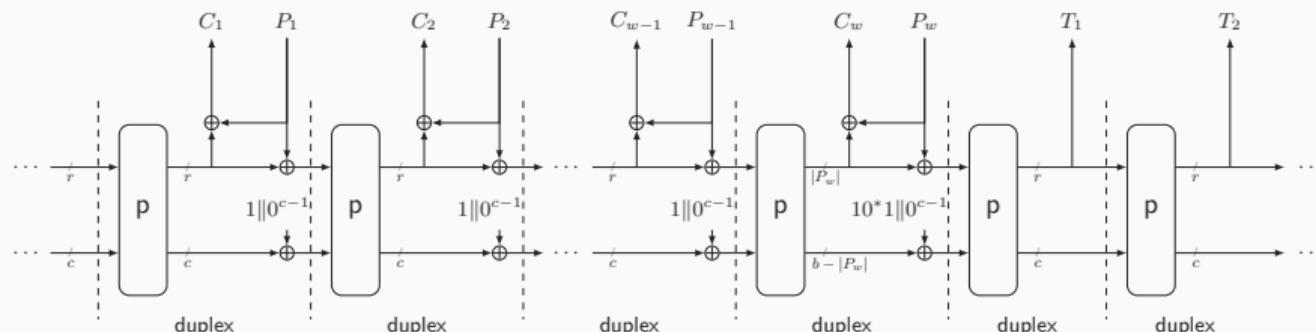
Role of Duplex

- Blockwise construction allows for processing different types of in-/output
- Usage of flag makes duplex-style encryption decryptable
(Although the flag is not a necessity for this)

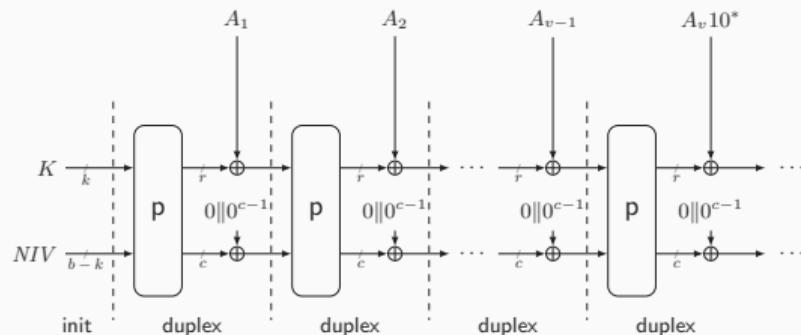
MonkeySpongeWrap: Encryption



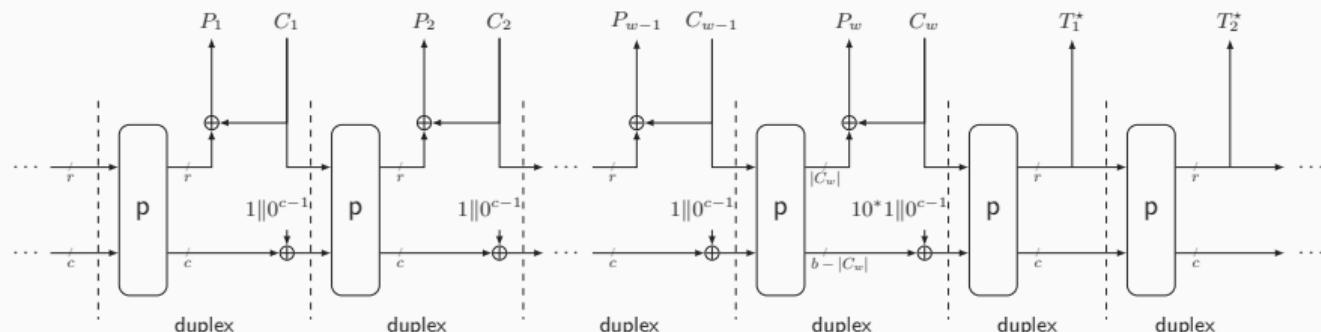
- State initialized using key and nonce
- Cleaned-up and synchronized domain separation
- Spill-over into inner part
- Used in Xoodyak and Gimli (a.o.)



MonkeySpongeWrap: Decryption



- Decryption similar to encryption
- Notable difference:
 - Processing of C
 - Duplexing with $\text{flag} = \text{true}$



MonkeySpongeWrap: Security (1)

- Consider distinguisher D against AE security of MonkeySpongeWrap[p]
$$\mathbf{Adv}_{\text{MonkeySpongeWrap}}^{\text{ae}}(D) = \Delta_D (\text{ENC}[p]_K, \text{DEC}[p]_K, p^\pm ; R^{\text{ae}}, \perp, p^\pm)$$
- D can make:
 - q_e encryption queries (total σ_e blocks),
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- *What are the resources of D'?*

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attack of Gilbert et al. [GHKR23] “operates” here, with $\sigma_d, N \approx 2^{3c/4}$ 33 / 34

Conclusion

Generalized Keyed Duplex

- Versatile construction but application not always clear
- Five representative use cases
- Further use cases: PRNG, PBKDF, ...
- Generic security of ISAP v2 follows from duplex and SuKS [DEM⁺20]
- Caution: all presented results only hold in **random permutation model**

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Much More in Paper

- More detailed explanation on duplex, multicollisions, applications, ...
- Application of bounds of both [DMV17] and [DM19] to use cases
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Thank you for your attention!

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Supporting Slides

Intermezzo: Multicollision Coefficient

Definition

- M balls, 2^r bins
- $\nu_{r,c}^M$ is smallest x such that $\Pr(|\text{fullest bin}| > x) \leq \frac{x}{2^c}$

Intermezzo: Multicollision Coefficient $\nu_{r,c}^M$ (1)

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Intuition Behind Definition

- We often need upper bound on the maximum multicollision in outer part
- Denote this maximum by v
- The value v appears in the security bound in a term of the form $\frac{v \cdot N}{2^c}$
- We could be unlucky: there could be a $> v$ -multicollision
- However, if we take $v = \nu_{r,c}^M$, this happens with probability at most $\frac{v}{2^c}$

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- We could be unlucky: there could be a $> v$ -multicollision
- However, if we take $v = \nu_{r,c}^M$, this happens with probability at most $\frac{v}{2^c}$
- This term is negligible compared to the main probability bound

Intermezzo: Multicollision Coefficient $\nu_{r,c}^M$ (2)

Definition

- M balls, 2^r bins
- $\nu_{r,c}^M$ is smallest x such that $\Pr(|\text{fullest bin}| > x) \leq \frac{x}{2^c}$

Intuition of Behavior

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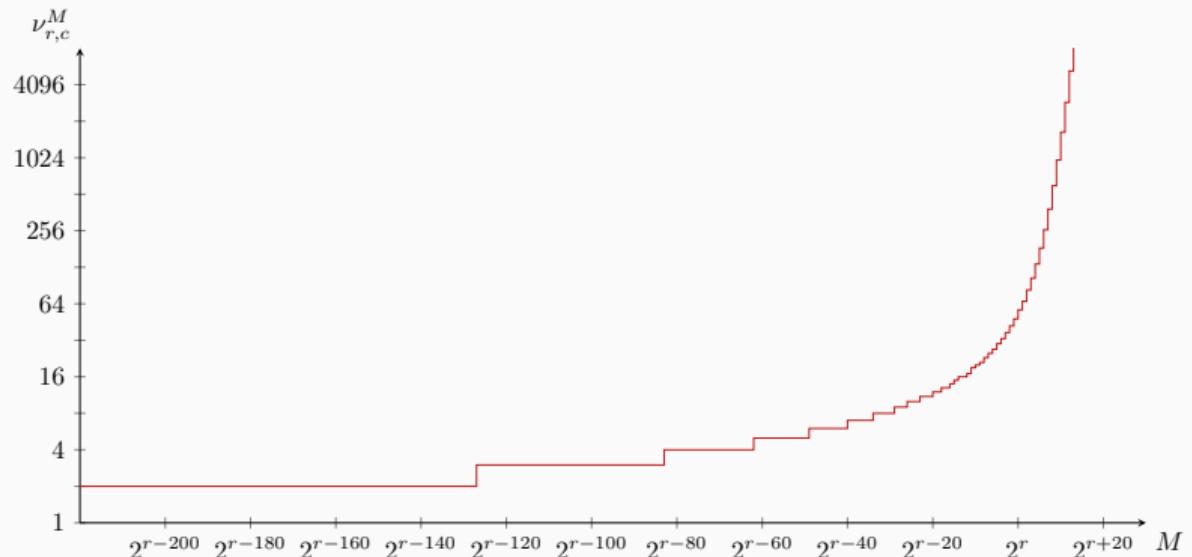
Intuition of Behavior

- If $M \ll 2^r$, all bins will likely be “reasonably” empty
- If $M \gg 2^r$, there will likely be a bin with around $\text{linear}(b) \cdot \frac{M}{2^r}$ balls
- Formula for $\nu_{r,c}^M$, and upper bounds in above 2 cases, derived in [DMV17]
- $\nu_{r,c}^M$ is (at most) smallest x that satisfies

$$\frac{2^b e^{-M/2^r} (M/2^r)^x}{(x - M/2^r)x!} \leq 1$$

Intermezzo: Multicollision Coefficient $\nu_{r,c}^M$ (3)

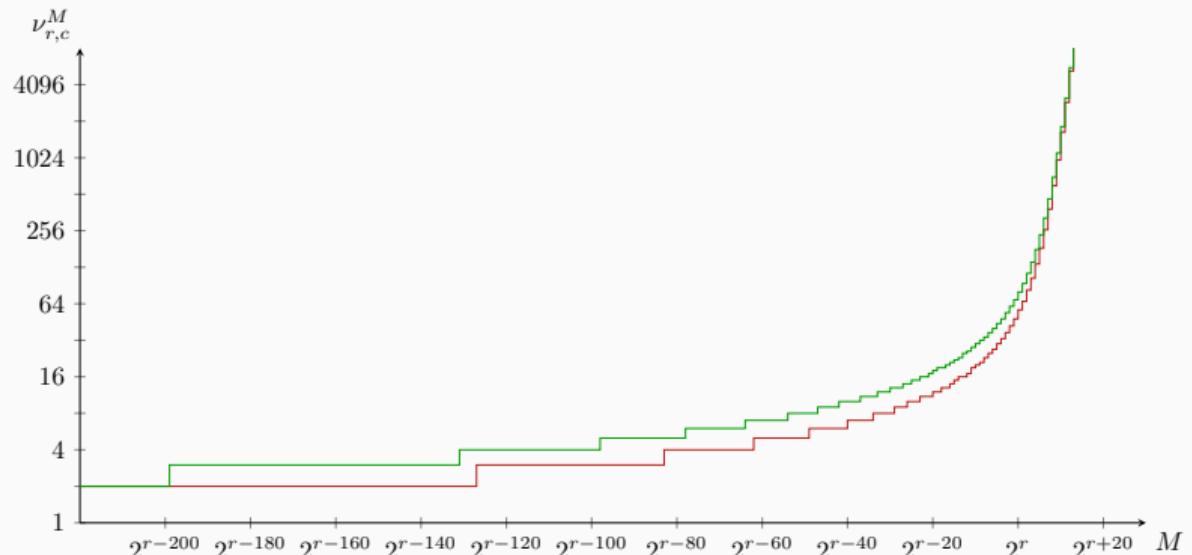
Stairway to Heaven for $b = 256$



| $M/2^r$ | $\nu_{r,c}^M$ |
|------------|---------------|
| 2^{-256} | — |
| 2^{-128} | 2 |
| 2^{-64} | 4 |
| 2^{-32} | 8 |
| 2^{-16} | 14 |
| 2^{-8} | 23 |
| 2^0 | 57 |
| 2^8 | 601 |
| 2^{16} | 70205 |
| 2^{19} | 537313 |

Intermezzo: Multicollision Coefficient $\nu_{r,c}^M$ (3)

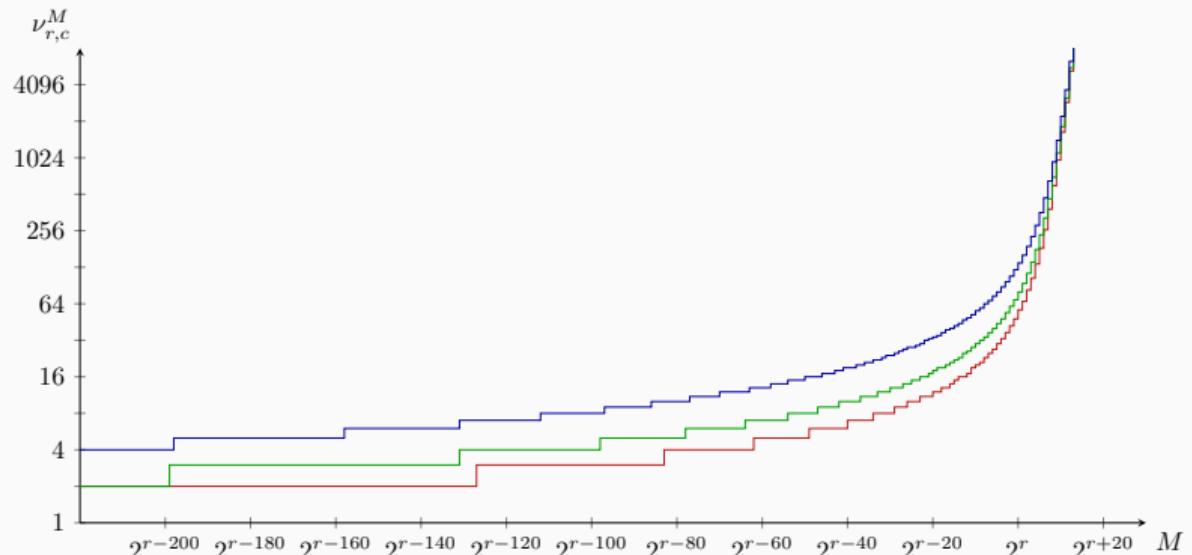
Stairway to Heaven for $b = 256$, $b = 400$



| $M/2^r$ | $\nu_{r,c}^M$ | $\nu_{r,c}^M$ |
|------------|---------------|---------------|
| 2^{-256} | — | 2 |
| 2^{-128} | 2 | 4 |
| 2^{-64} | 4 | 7 |
| 2^{-32} | 8 | 12 |
| 2^{-16} | 14 | 21 |
| 2^{-8} | 23 | 34 |
| 2^0 | 57 | 80 |
| 2^8 | 601 | 707 |
| 2^{16} | 70205 | 71484 |
| 2^{19} | 537313 | 540887 |

Intermezzo: Multicollision Coefficient $\nu_{r,c}^M$ (3)

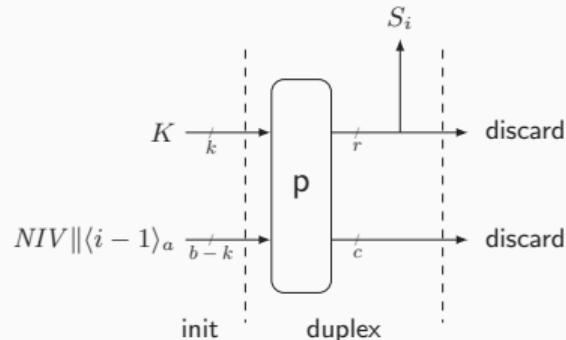
Stairway to Heaven for $b = 256$, $b = 400$, $b = 800$



| $M/2^r$ | $\nu_{r,c}^M$ | $\nu_{r,c}^M$ | $\nu_{r,c}^M$ |
|------------|---------------|---------------|---------------|
| 2^{-256} | — | 2 | 4 |
| 2^{-128} | 2 | 4 | 7 |
| 2^{-64} | 4 | 7 | 12 |
| 2^{-32} | 8 | 12 | 23 |
| 2^{-16} | 14 | 21 | 40 |
| 2^{-8} | 23 | 34 | 64 |
| 2^0 | 57 | 80 | 139 |
| 2^8 | 601 | 707 | 944 |
| 2^{16} | 70205 | 71484 | 74119 |
| 2^{19} | 537313 | 540887 | 548194 |

Use Case 2: Parallel Keystream Generation

Parallel Keystream Generation



Algorithm Parallel keystream generation P-SC[p]

Input: $(K, NIV, \ell) \in \{0, 1\}^k \times \{0, 1\}^{b-k-a} \times \{0, \dots, r2^a\}$

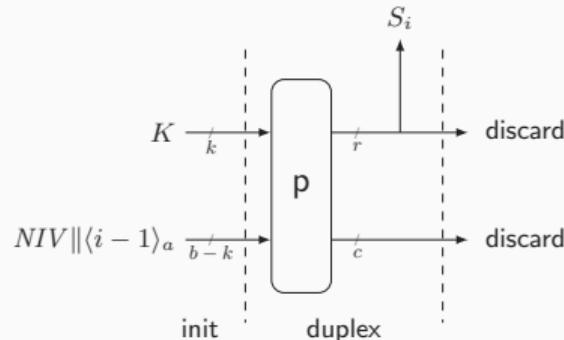
Output: $S \in \{0, 1\}^\ell$

Underlying keyed duplex: KD[p]_(K)

```
 $S \leftarrow \emptyset$ 
for  $i = 1, \dots, \lceil \ell/r \rceil$  do
    KD.init( $1, NIV\|\langle i-1 \rangle_a$ )
     $S \leftarrow S \parallel \text{KD.duplex(false, } 0^b)$ 
return left $\ell$ ( $S$ )
```

- Input: key K , nonce NIV
- Output: keystream S of requested length

Parallel Keystream Generation



Algorithm Parallel keystream generation P-SC[p]

Input: $(K, NIV, \ell) \in \{0, 1\}^k \times \{0, 1\}^{b-k-a} \times \{0, \dots, r2^a\}$

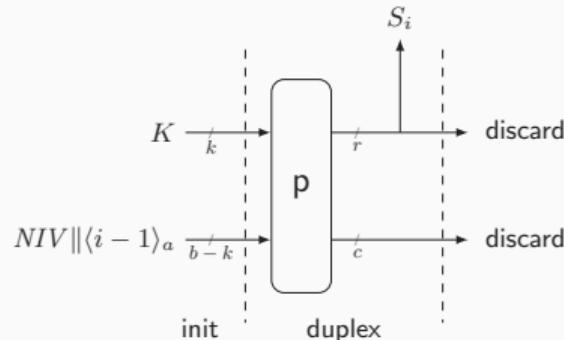
Output: $S \in \{0, 1\}^\ell$

Underlying keyed duplex: KD[p]_(K)

```
 $S \leftarrow \emptyset$ 
for  $i = 1, \dots, \lceil \ell/r \rceil$  do
    KD.init( $1, NIV\| \langle i-1 \rangle_a$ )
     $S \leftarrow S \parallel$  KD.duplex( $false, 0^b$ )
return left $\ell$ (S)
```

- Input: key K , nonce NIV
- Output: keystream S of requested length
- P-SC[p] can be seen as TP[p] in counter mode

Parallel Keystream Generation



Algorithm Parallel keystream generation P-SC[p]

Input: $(K, NIV, \ell) \in \{0, 1\}^k \times \{0, 1\}^{b-k-a} \times \{0, \dots, r2^a\}$

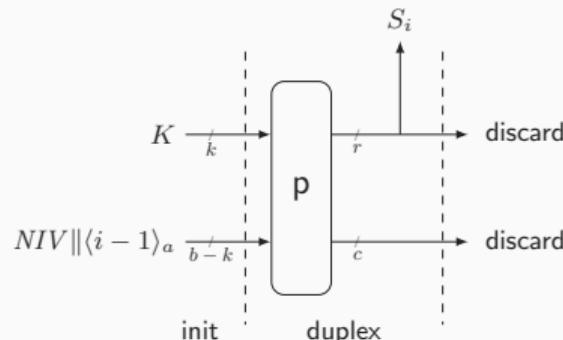
Output: $S \in \{0, 1\}^\ell$

Underlying keyed duplex: KD[p]_(K)

```
 $S \leftarrow \emptyset$ 
for  $i = 1, \dots, \lceil \ell/r \rceil$  do
    KD.init(1,  $NIV \parallel \langle i-1 \rangle_a$ )
     $S \leftarrow S \parallel \text{KD.duplex(false, } 0^b)$ 
return left $_\ell(S)$ 
```

- Input: key K , nonce NIV
- Output: keystream S of requested length
- P-SC[p] can be seen as TP[p] in counter mode
- PRF security of P-SC[p] easily follows:

Parallel Keystream Generation



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Input: $(K, NIV, \ell) \in \{0, 1\}^k \times \{0, 1\}^{b-k-a} \times \{0, \dots, r2^a\}$

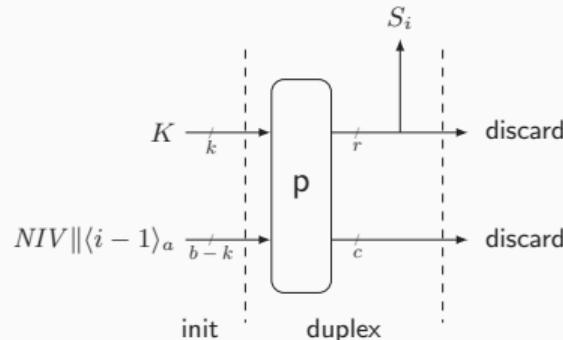
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 $S \leftarrow \emptyset$ 
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Parallel Keystream Generation



Algorithm Parallel keystream generation P-SC[p]

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Output: $S \in \{0, 1\}^\ell$

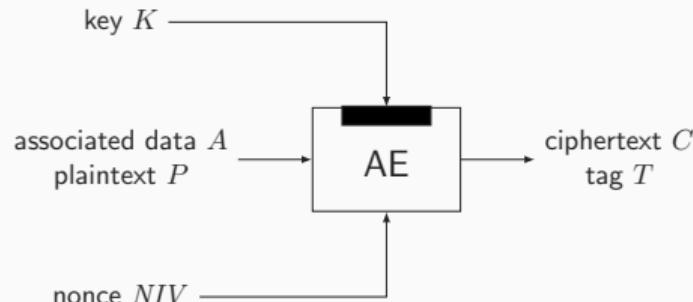
Underlying keyed duplex: KD[p]_(K)

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 $S \leftarrow \emptyset$ 
for  $i = 1, \dots, \lceil \ell/r \rceil$  do
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     $S \leftarrow S \parallel \text{KD.duplex(false, } 0^b)$ 
return left $\ell$ ( $S$ )
```

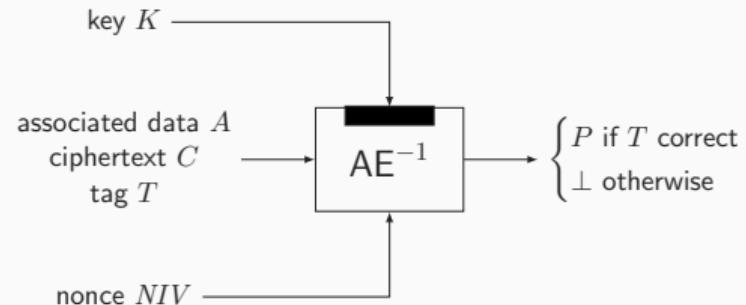
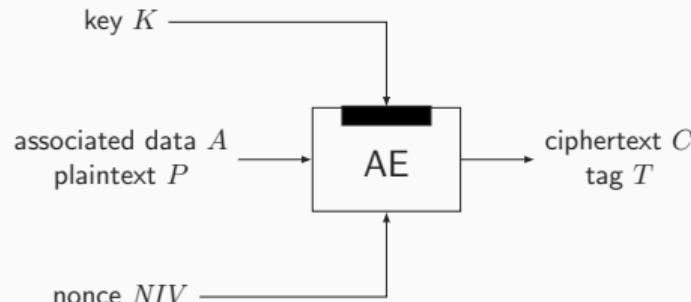
- Input: key K , nonce NIV
- Output: keystream S of requested length
- P-SC[p] can be seen as TP[p] in counter mode
- PRF security of P-SC[p] easily follows:
 - TP[p] behaves like a PRF (up to good bound)
 - Counter mode with a PRF generates uniform random keystream (provided nonce/counter never repeats)

Use Case 5: Authenticated Encryption

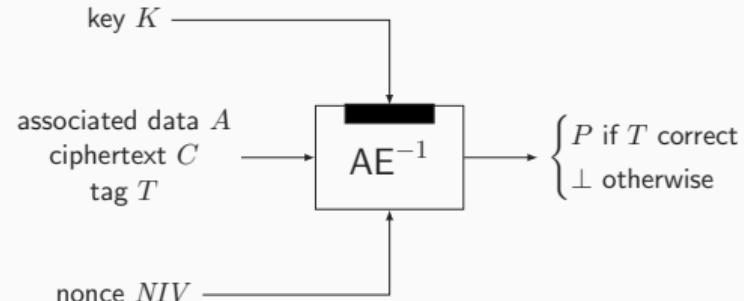
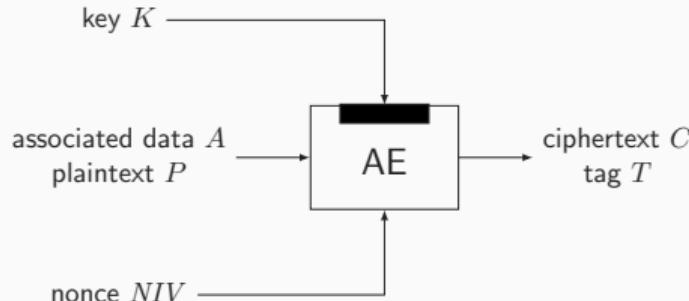
Authenticated Encryption



Authenticated Encryption



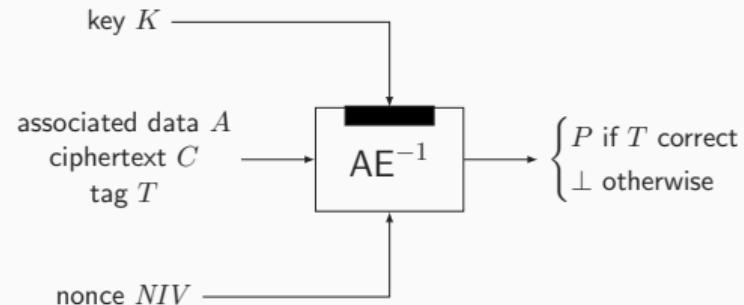
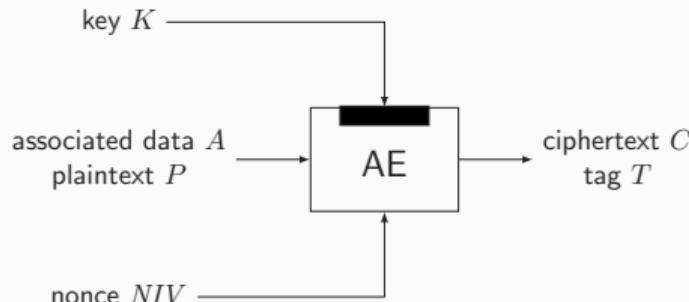
Authenticated Encryption



Role of Duplex

- Blockwise construction allows for processing different types of in-/output

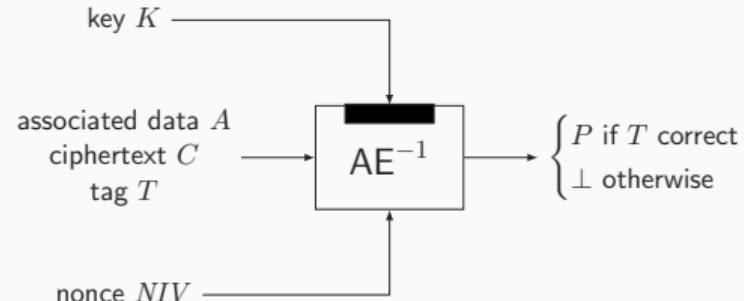
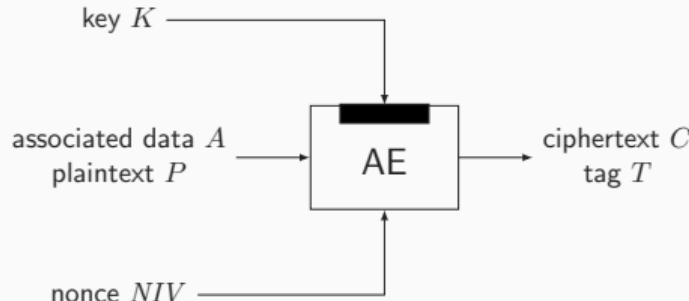
Authenticated Encryption



Role of Duplex

- Blockwise construction allows for processing different types of in-/output
- Usage of flag makes duplex-style encryption decryptable

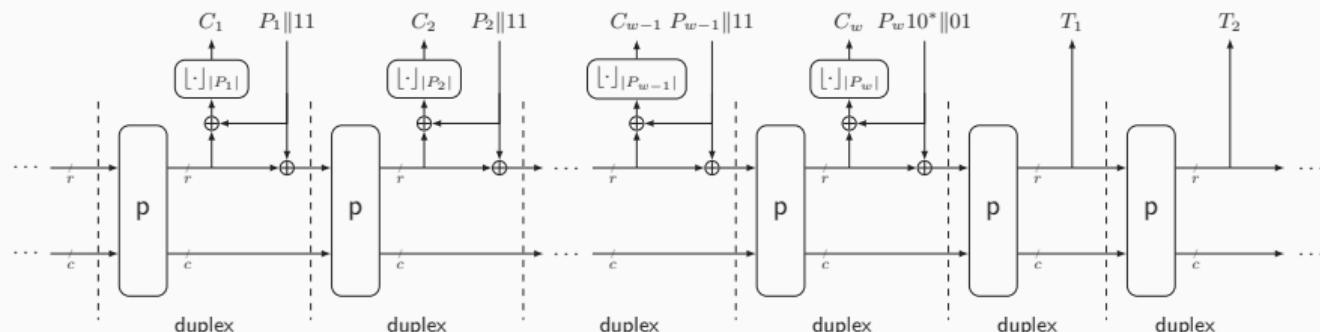
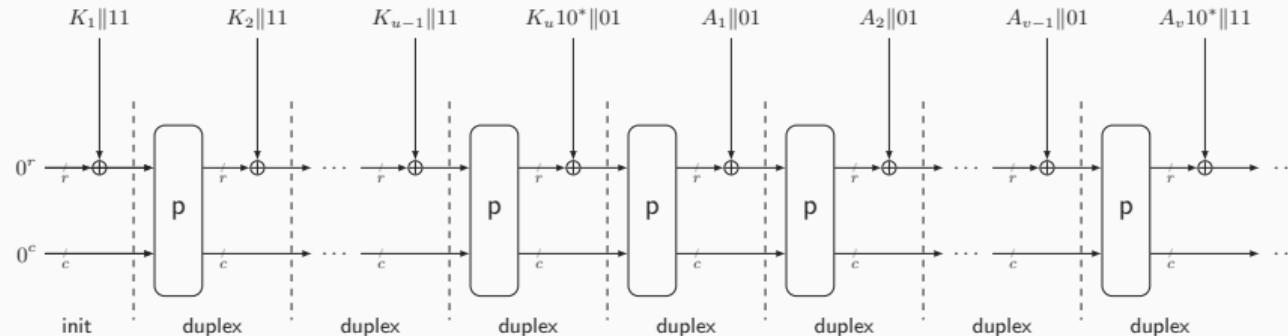
Authenticated Encryption



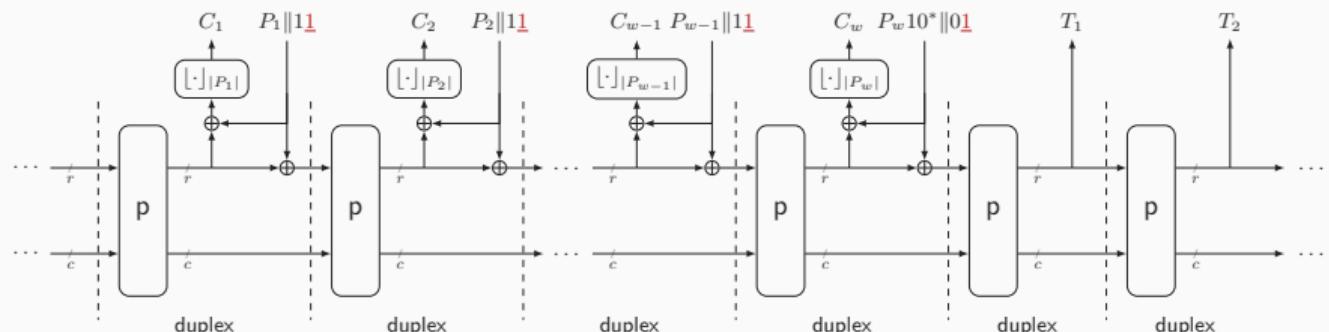
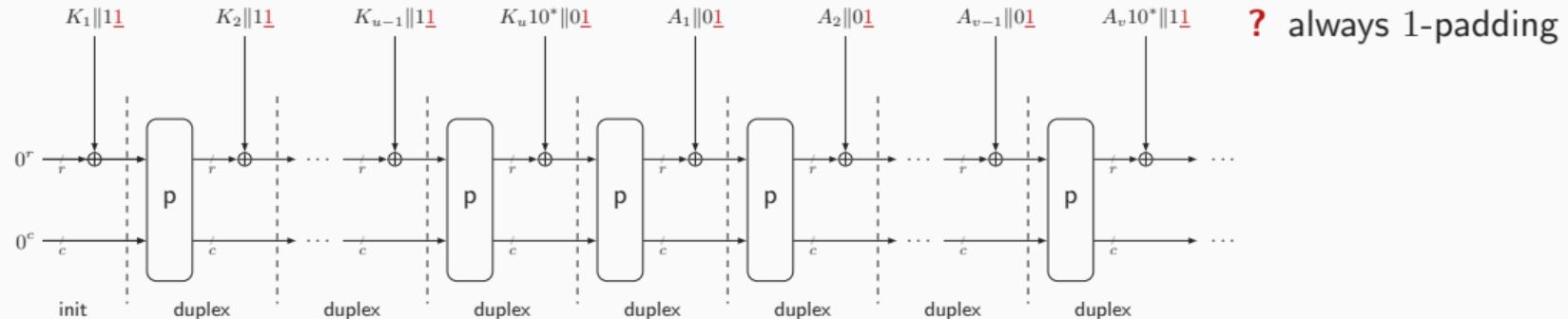
Role of Duplex

- Blockwise construction allows for processing different types of in-/output
- Usage of flag makes duplex-style encryption decryptable
(Although the flag is not a necessity for this)

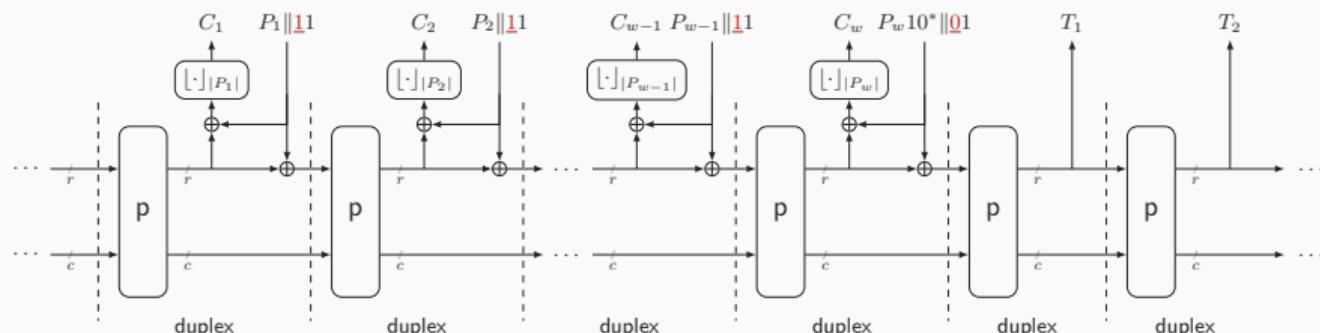
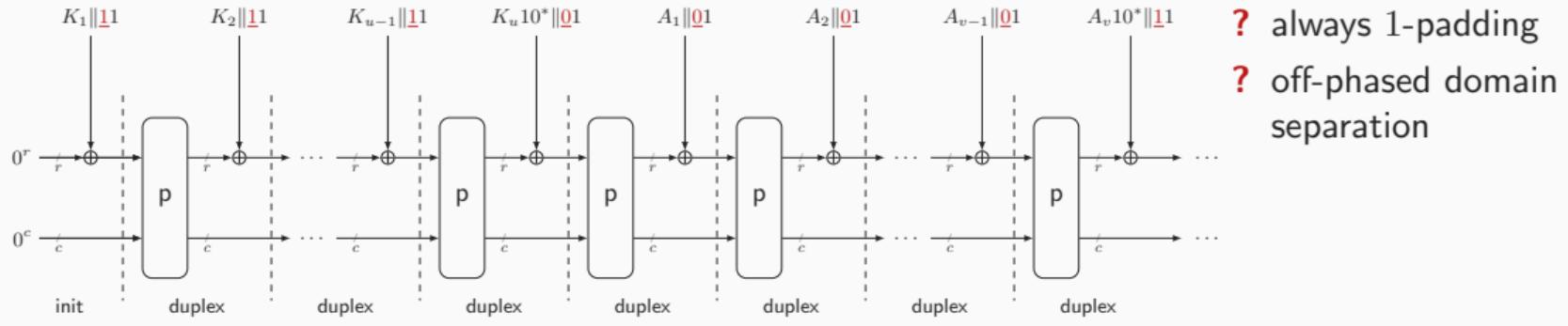
SpongeWrap [BDPV11a]



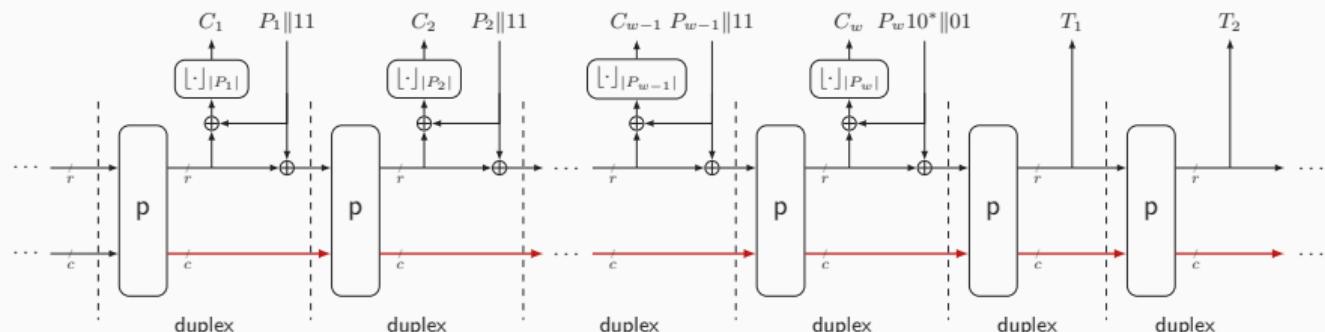
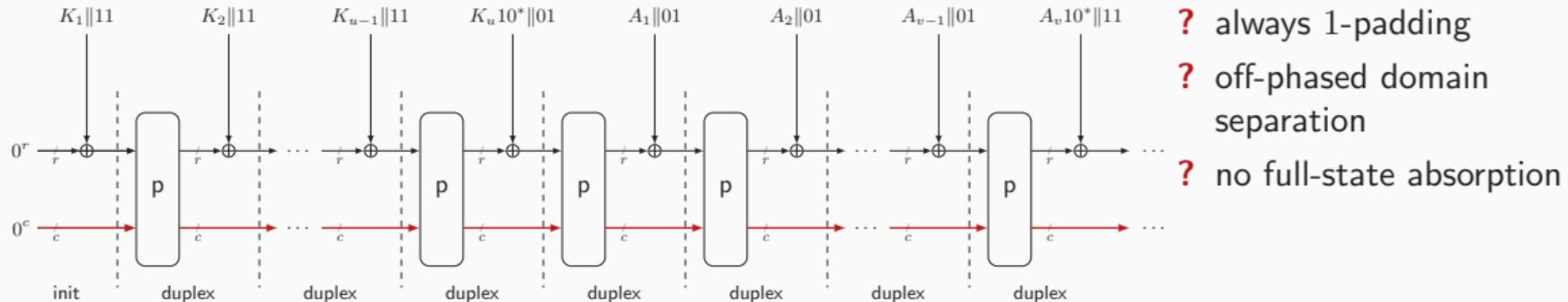
Issues with SpongeWrap



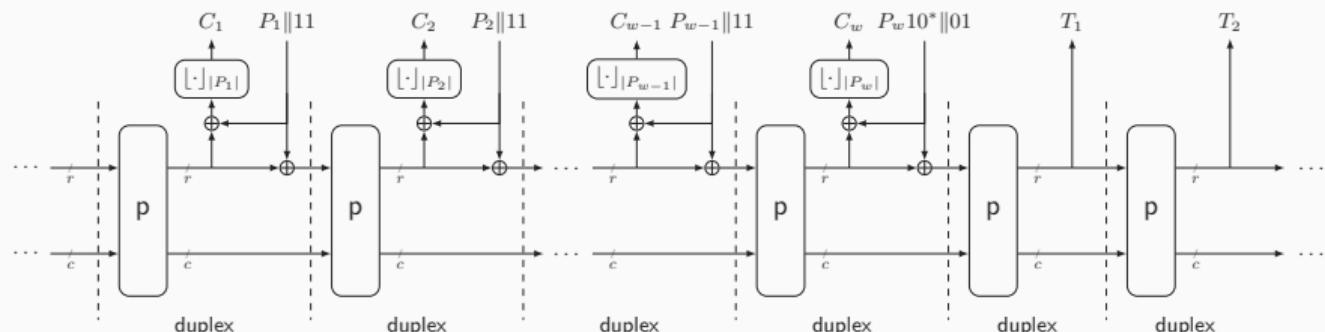
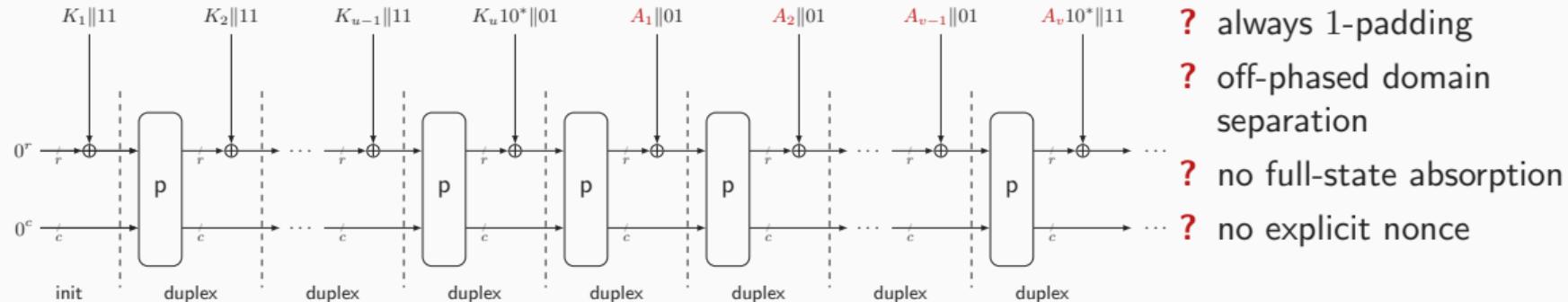
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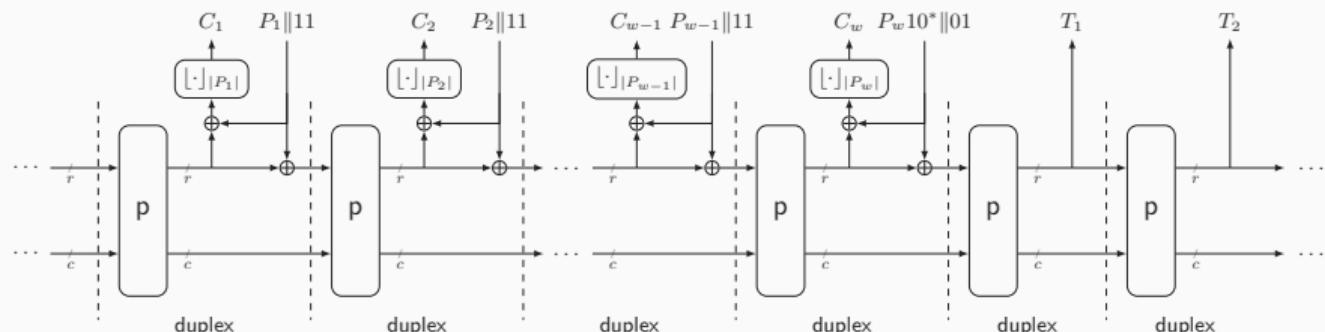
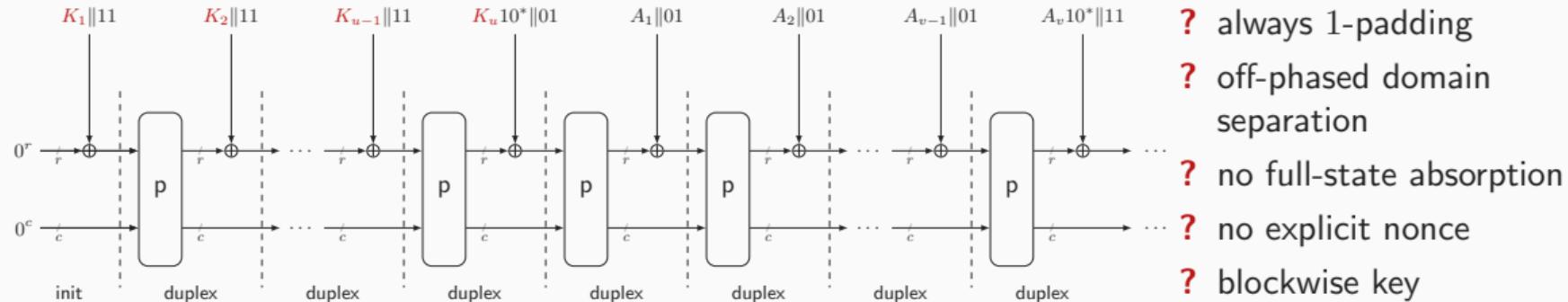
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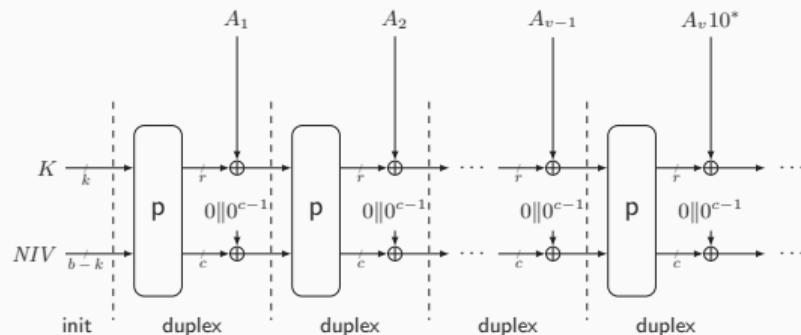
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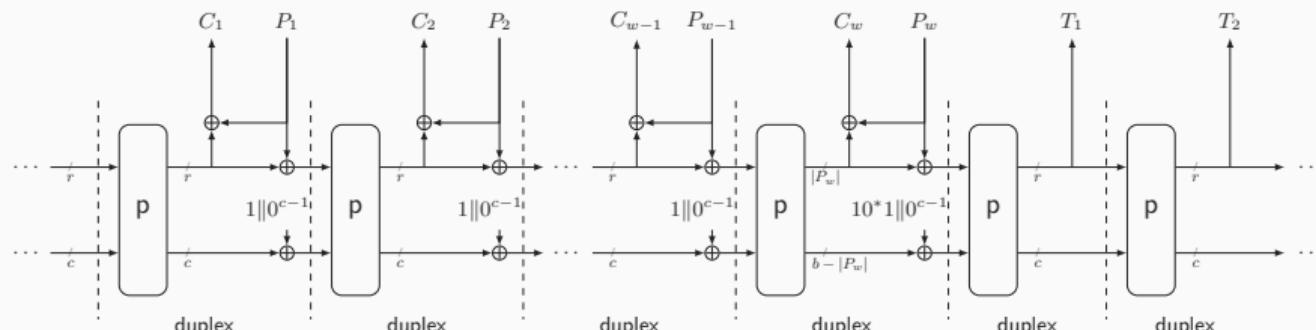
Issues with SpongeWrap



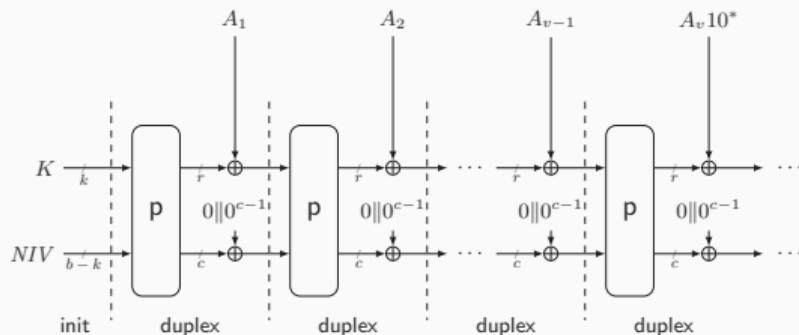
MonkeySpongeWrap: Encryption



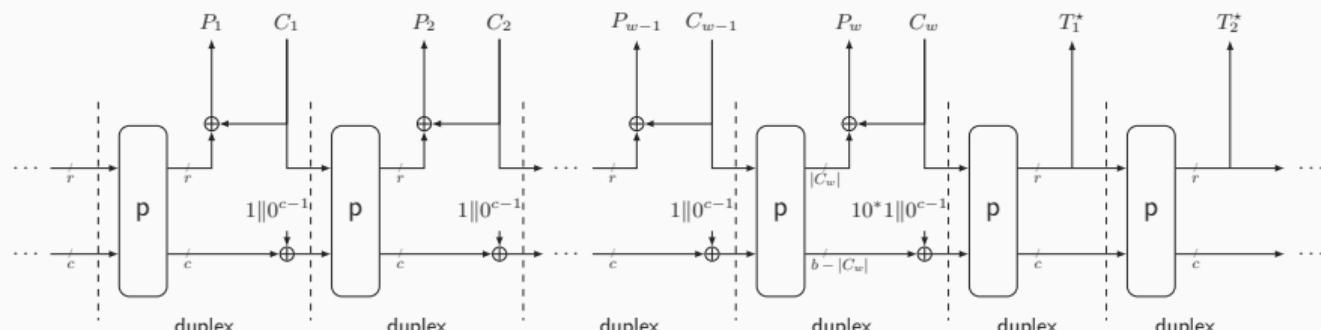
- State initialized using key and nonce
- Cleaned-up and synchronized domain separation
- Spill-over into inner part
- Used in Xoodyak and Gimli (a.o.)



MonkeySpongeWrap: Decryption



- Decryption similar to encryption
- Notable difference:
 - Processing of C
 - Duplexing with $\text{flag} = \text{true}$



MonkeySpongeWrap: Algorithm

Algorithm MonkeySpongeWrap[p]: ENC

Input: $(K, NIV, A, P) \in \{0, 1\}^k \times \{0, 1\}^{b-k} \times \{0, 1\}^* \times \{0, 1\}^*$

Output: $(C, T) \in \{0, 1\}^{|P|} \times \{0, 1\}^t$

Underlying keyed duplex: KD[p]_(K)

$(A_1, A_2, \dots, A_v) \leftarrow \text{pad}_r^{10^*}(A)$

$(P_1, P_2, \dots, P_w) \leftarrow \text{pad}_r^{10^*}(P)$

$C \leftarrow \emptyset$

$T \leftarrow \emptyset$

KD.init(1, NIV)

for $i = 1, \dots, v$ **do**

KD.duplex(false, $A_i \| 0 \| 0^{c-1}$) \triangleright discard output

for $i = 1, \dots, w$ **do**

$C \leftarrow C \parallel \text{KD.duplex(false, } P_i \| 1 \| 0^{c-1}) \oplus P_i$

for $i = 1, \dots, \lceil t/r \rceil$ **do**

$T \leftarrow T \parallel \text{KD.duplex(false, } 0^b)$

return $(\text{left}_{|P|}(C), \text{left}_t(T))$

Algorithm MonkeySpongeWrap[p]: DEC

Input: $(K, NIV, A, C, T) \in \{0, 1\}^k \times \{0, 1\}^{b-k} \times \{0, 1\}^* \times \{0, 1\}^* \times \{0, 1\}^t$

Output: $P \in \{0, 1\}^{|C|}$ or \perp

Underlying keyed duplex: KD[p]_(K)

$(A_1, A_2, \dots, A_v) \leftarrow \text{pad}_r^{10^*}(A)$

$(C_1, C_2, \dots, C_w) \leftarrow \text{pad}_r^{10^*}(C)$

$P \leftarrow \emptyset$

$T^* \leftarrow \emptyset$

KD.init(1, NIV)

for $i = 1, \dots, v$ **do**

KD.duplex(false, $A_i \| 0 \| 0^{c-1}$) \triangleright discard output

for $i = 1, \dots, w$ **do**

$P \leftarrow P \parallel \text{KD.duplex(true, } C_i \| 1 \| 0^{c-1}) \oplus C_i$

for $i = 1, \dots, \lceil t/r \rceil$ **do**

$T^* \leftarrow T^* \parallel \text{KD.duplex(false, } 0^b)$

return $\text{left}_t(T) = \text{left}_t(T^*) ? \text{left}_{|C|}(P) : \perp$

MonkeySpongeWrap: Security (1)

- Consider distinguisher D against AE security of MonkeySpongeWrap[p]

$$\mathbf{Adv}_{\text{MonkeySpongeWrap}}^{\text{ae}}(D) = \Delta_D (\text{ENC}[p]_K, \text{DEC}[p]_K, p^\pm ; R^{\text{ae}}, \perp, p^\pm)$$

- D can make: q_e encryption queries (total σ_e blocks),
D can make q_d decryption queries (total σ_d blocks),
D can make N primitive queries

MonkeySpongeWrap: Security (1)

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- Encryption calls: unique nonce, *flag always false*
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- Triangle inequality derivation slightly more involved than before:

$$\mathbf{Adv}_{\text{MonkeySpongeWrap}}^{\text{ae}}(D) \leq \Delta_{D'} (\text{KD}[p]_K, p^\pm ; \text{IXIF}[ro], p^\pm) + \frac{q_d}{2^t}$$

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- *What are the resources of D'?*

MonkeySpongeWrap: Security (2)

- D can make: q_e encryption queries (total σ_e blocks),
D can make q_d decryption queries (total σ_d blocks),
D can make N primitive queries
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MonkeySpongeWrap: Security (2)

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- Encryption calls: unique nonce, *flag always false*
- Decryption calls: nonce may repeat, *flag may be true*

| resources of D' | in terms of | resources of D |
|--------------------------------------------------|-------------|----------------|
| M : data complexity (calls to construction) | | |
| N : time complexity (calls to primitive) | —————> | N |
| Q : number of init calls | | |
| Q_{IV} : max # init calls for single IV | | |
| L : # queries with repeated path | | |
| Ω : # queries with overwriting outer part | | |

MonkeySpongeWrap: Security (2)

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| resources of D' | in terms of | resources of D |
|--------------------------------------------------|-------------|-----------------------|
| M : data complexity (calls to construction) | → | $\sigma_e + \sigma_d$ |
| N : time complexity (calls to primitive) | → | N |
| Q : number of init calls | → | $q_e + q_d$ |
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| M : data complexity (calls to construction) | —————> | $\sigma_e + \sigma_d$ |
| N : time complexity (calls to primitive) | —————> | N |
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| Q_{IV} : max # init calls for single IV | —————> | 1 |
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MonkeySpongeWrap: Security (2)

- D can make: q_e encryption queries (total σ_e blocks),
D can make q_d decryption queries (total σ_d blocks),
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- Encryption calls: unique nonce, *flag always false*
- Decryption calls: nonce may repeat, *flag may be true*

| resources of D' | in terms of | resources of D |
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| M : data complexity (calls to construction) | —————> | $\sigma_e + \sigma_d$ |
| N : time complexity (calls to primitive) | —————> | N |
| Q : number of init calls | —————> | $q_e + q_d$ |
| Q_{IV} : max # init calls for single IV | —————> | 1 |
| L : # queries with repeated path | —————> | $\leq q_d$ |
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From [DMV17] (in single-user setting):

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attack of Gilbert et al. [GHKR23] “operates” here, with $\sigma_d, N \approx 2^{3c/4}$